



TOWARDS ROBUST NEURAL NETWORK PARAMETERIZATIONS OF CONVECTION

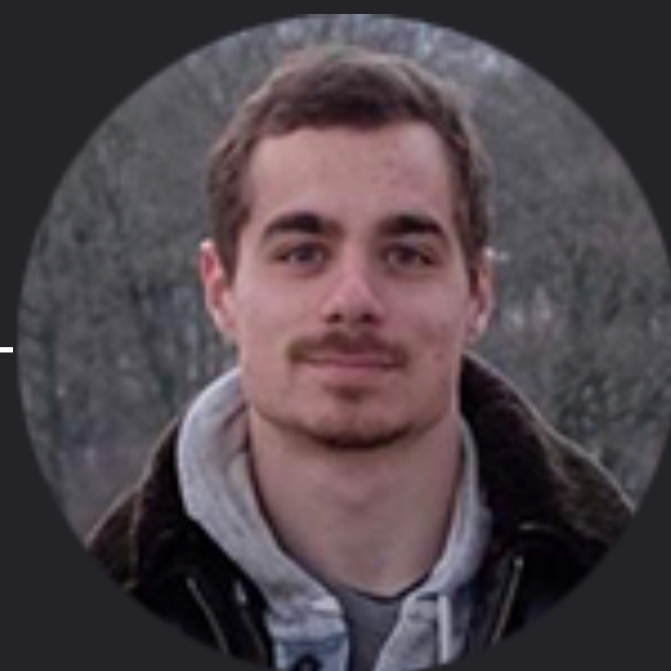
Advances in stability, credibility & software

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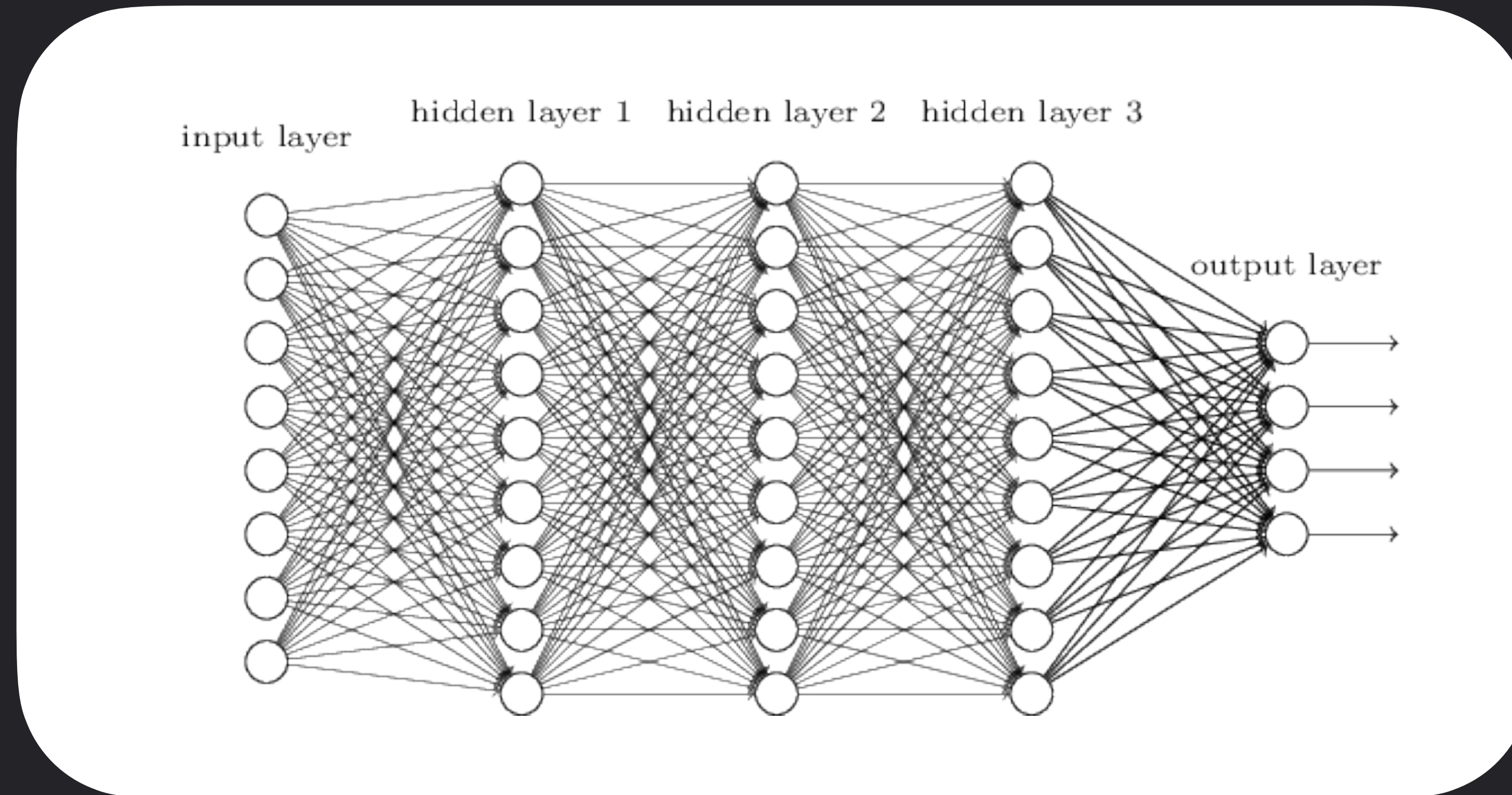


Stephan Rasp

Former visiting
PhD student

Context.

DNNs: Powerful emulators of high-dimensional nonlinear functions disrupting industry and science.



Schematic of a simple deep feed-forward Neural Network (DNN)

Deep Learning emulation might allow high definition 3D turbulence ahead of schedule!

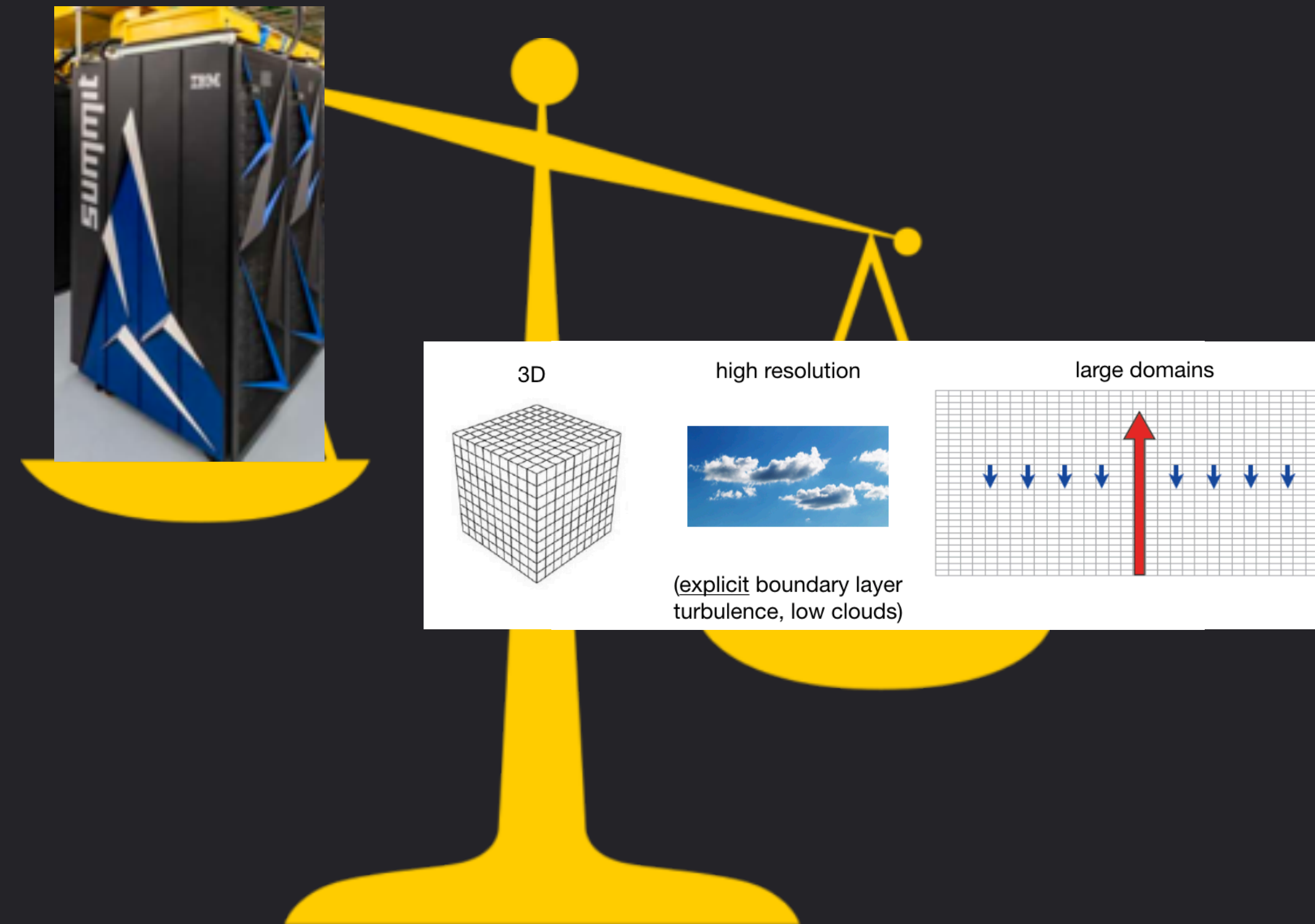
If the job is hard, e.g. simulating the whole atmosphere for decades...



...satisfying 3D turbulence calculations can seem too much even for powerful computers.

Deep Learning **emulation** might allow high definition 3D turbulence ahead of schedule!

If the job changes to making short simulations just for training machine learning emulators...



...we can do much more justice to turbulence physics.

Deep Learning emulation can buy performance portability for free and thus access to unbelievable new systems.



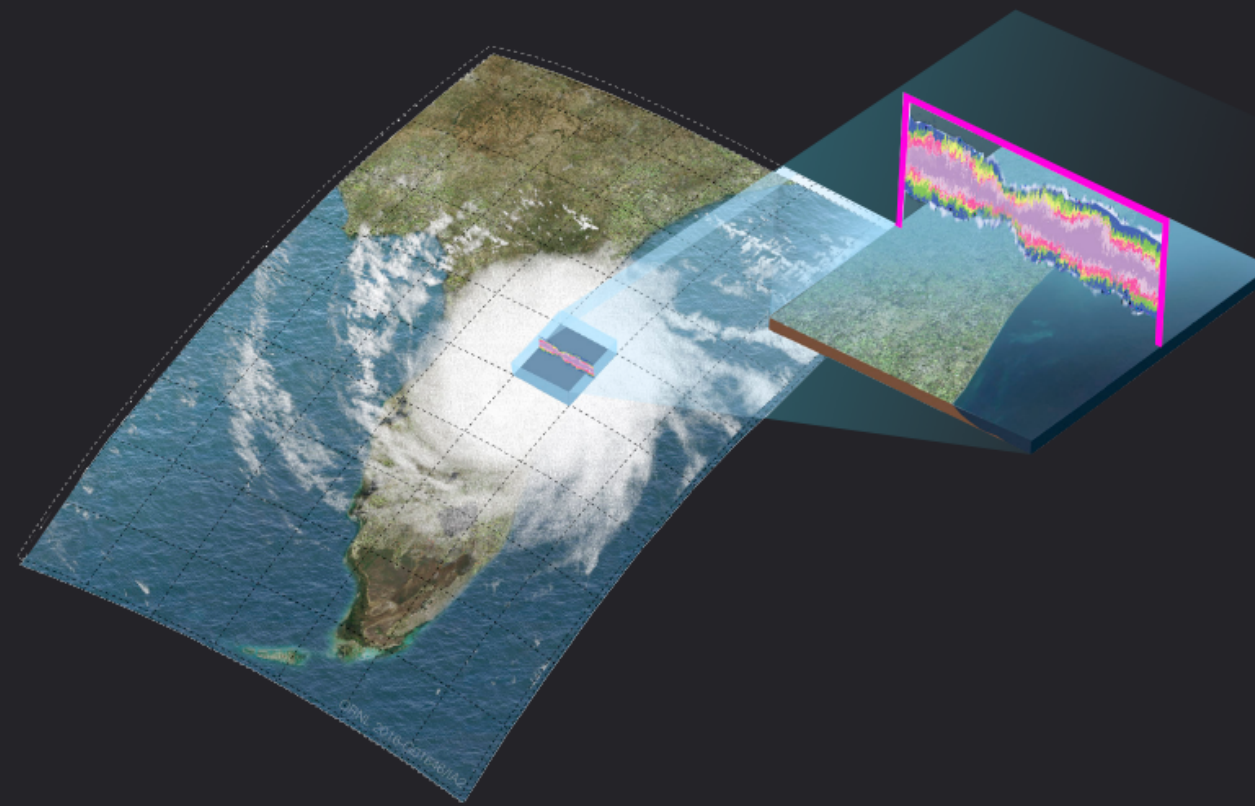
**“Summit” at Oak Ridge in Tennessee — 200 petaflops
~ 4,500 NVIDIA Volta V100 GPU nodes
(~ 27,648 research quality GPUs)**

Is deep learning viable for emulating SuperParameterization?

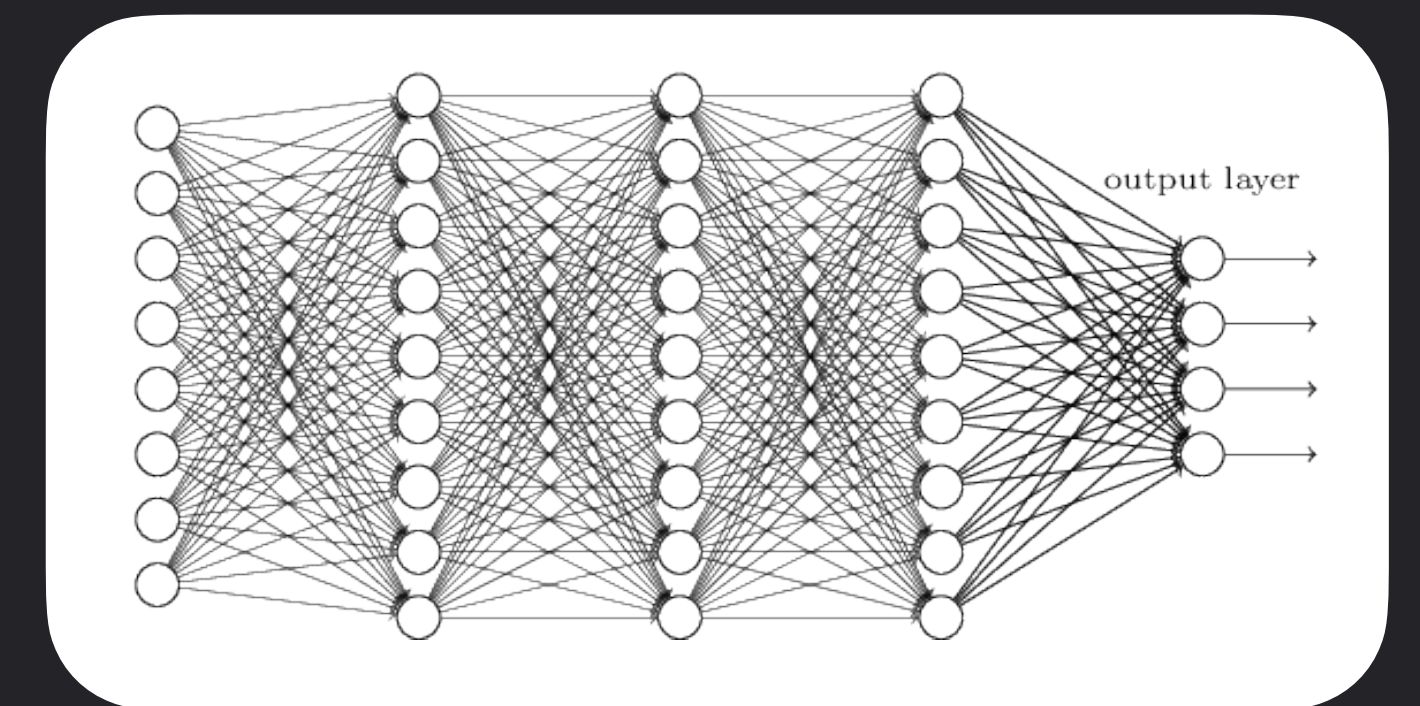
2017: Global aquaplanet
SP testbed



Can 140,000,000 outputs from
1 year of ~ 10,000 cloud-
resolving models...



Be fit by a deep neural network?



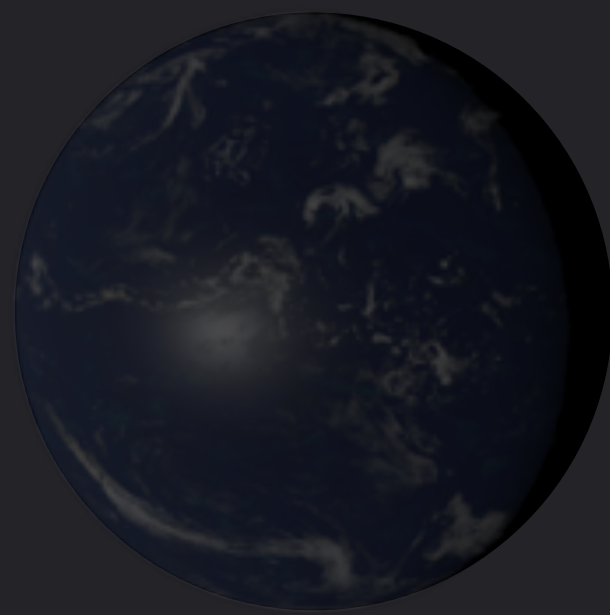
Is deep learning viable for emulating SuperParameterization?

Quite possibly!

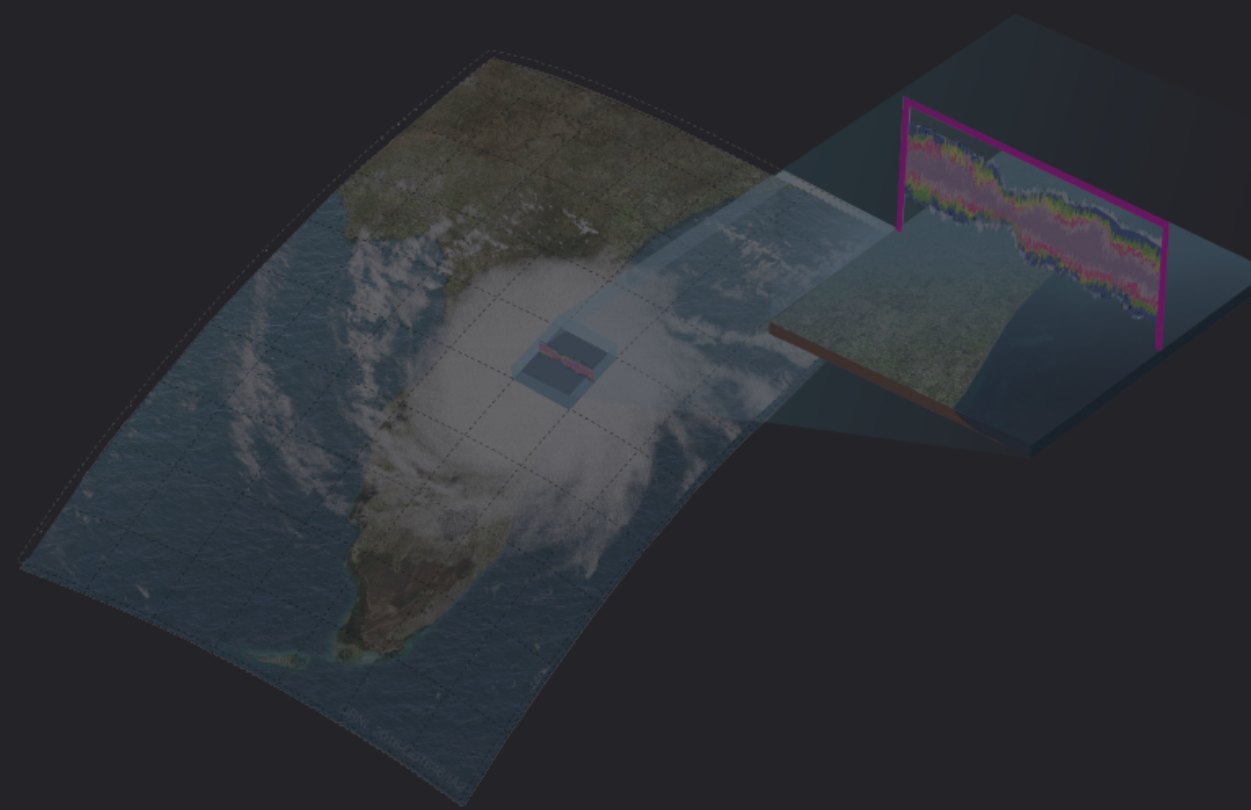


The "Cloud Brain"

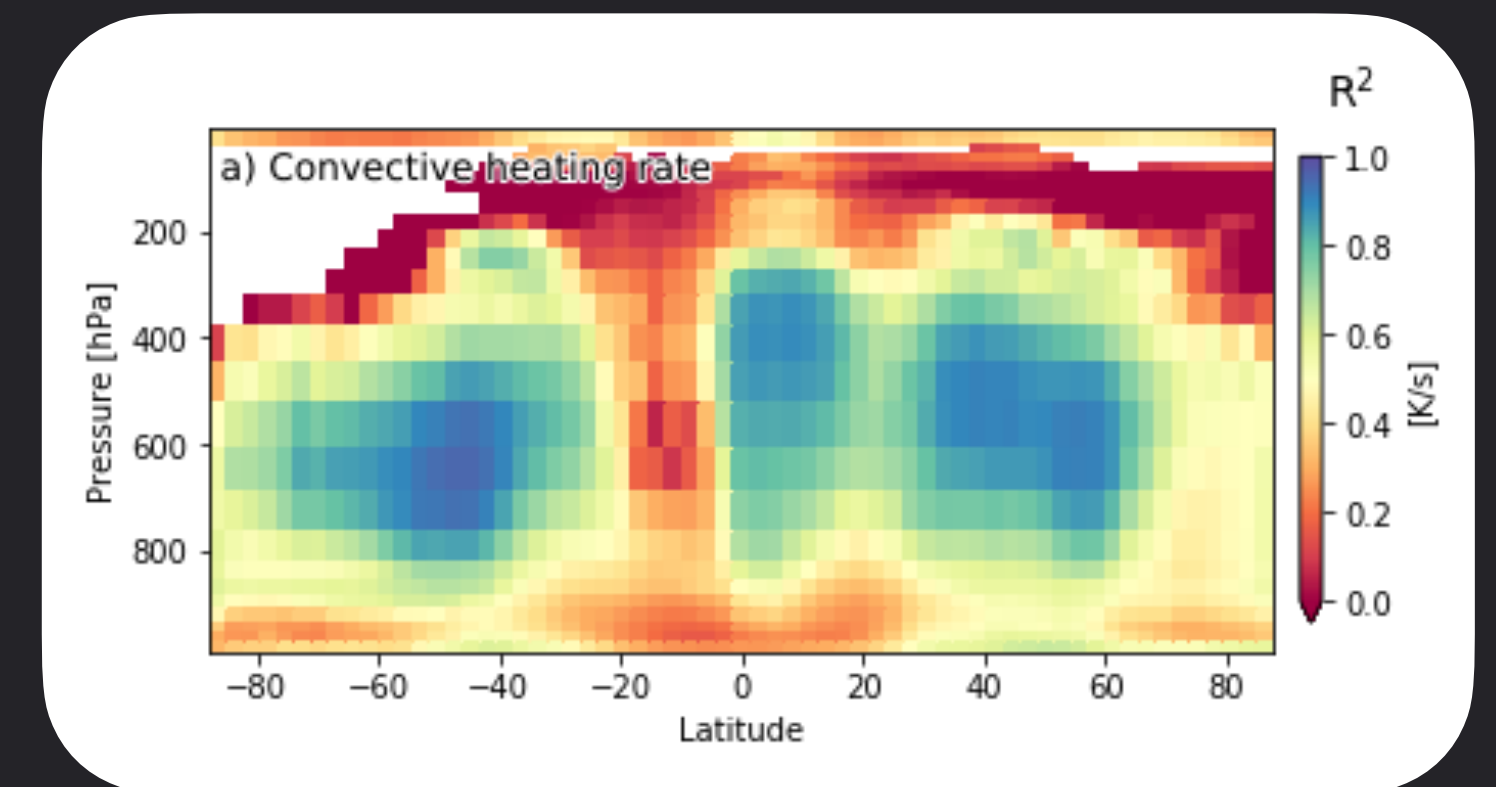
Global aquaplanet testbed



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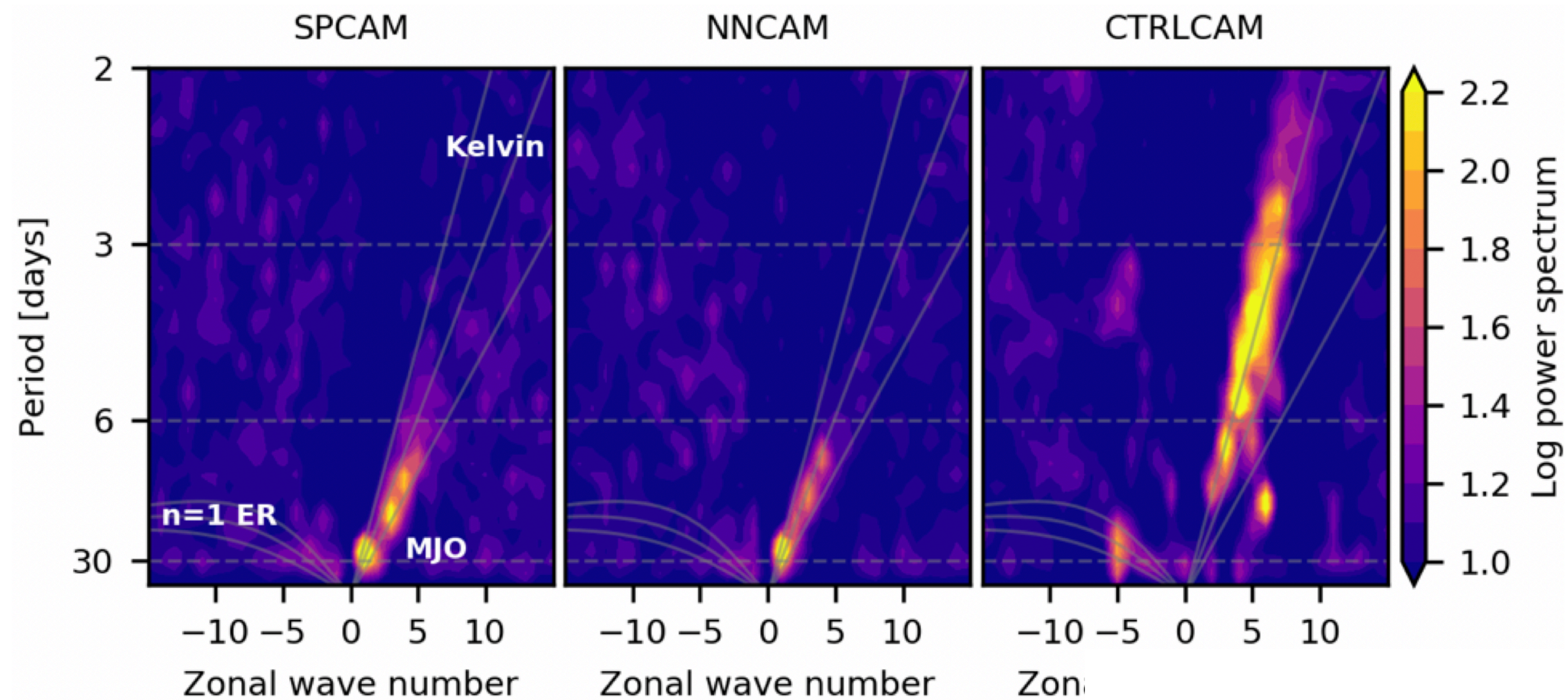


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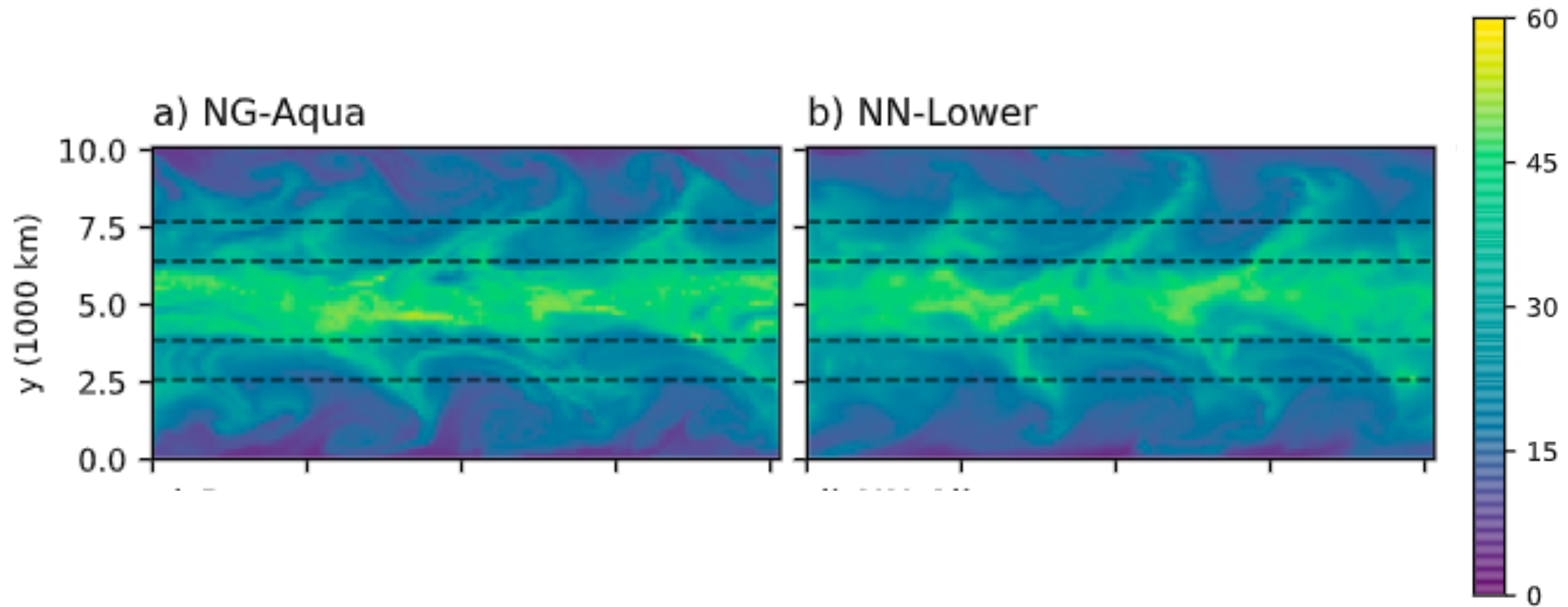


Yes, e.g. $R^2 > 0.7$ for mid-tropospheric heating by convection and radiation.

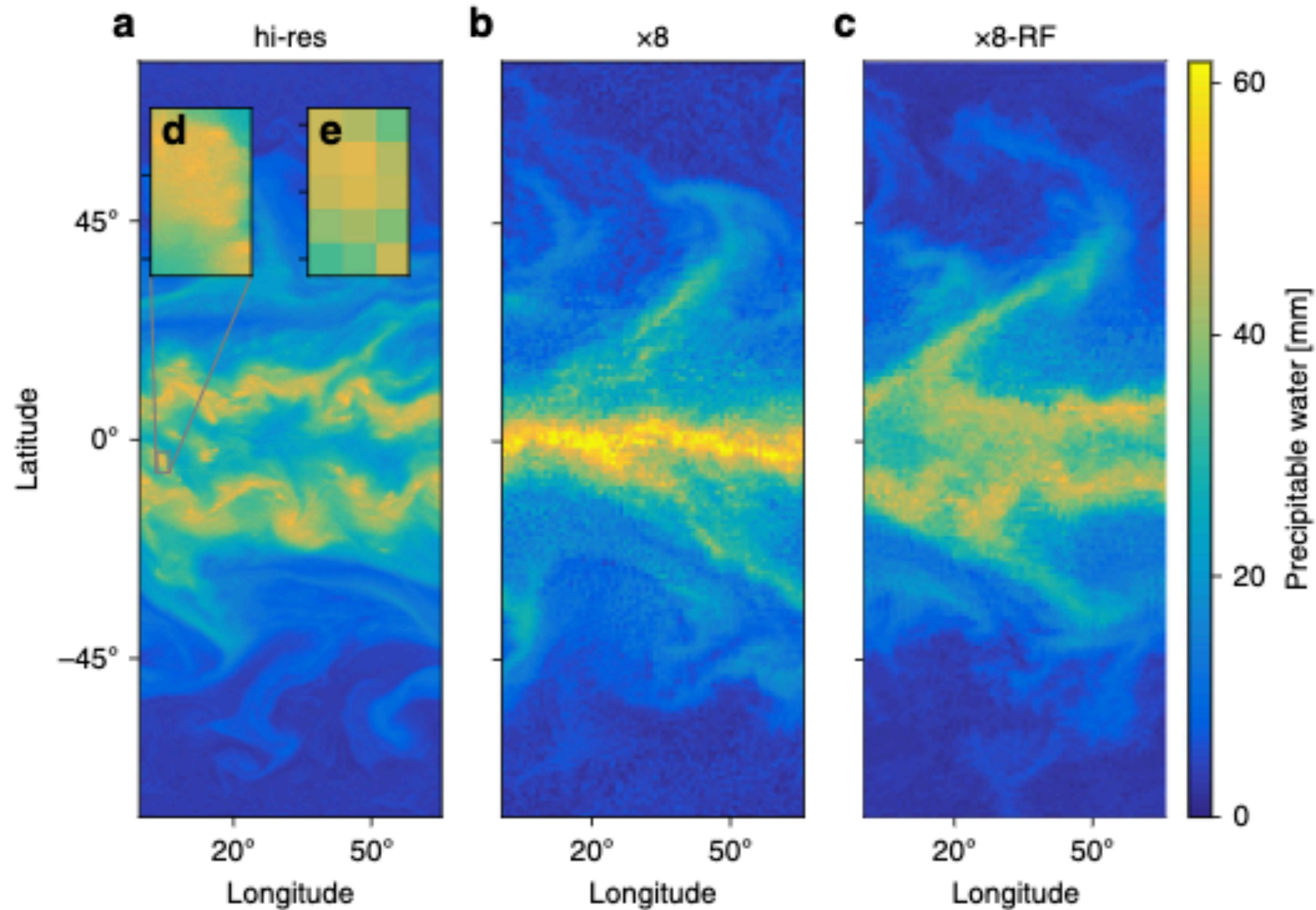
Promise: Physically credible behavior in multi-year prognostic simulations with NN-emulated convection.



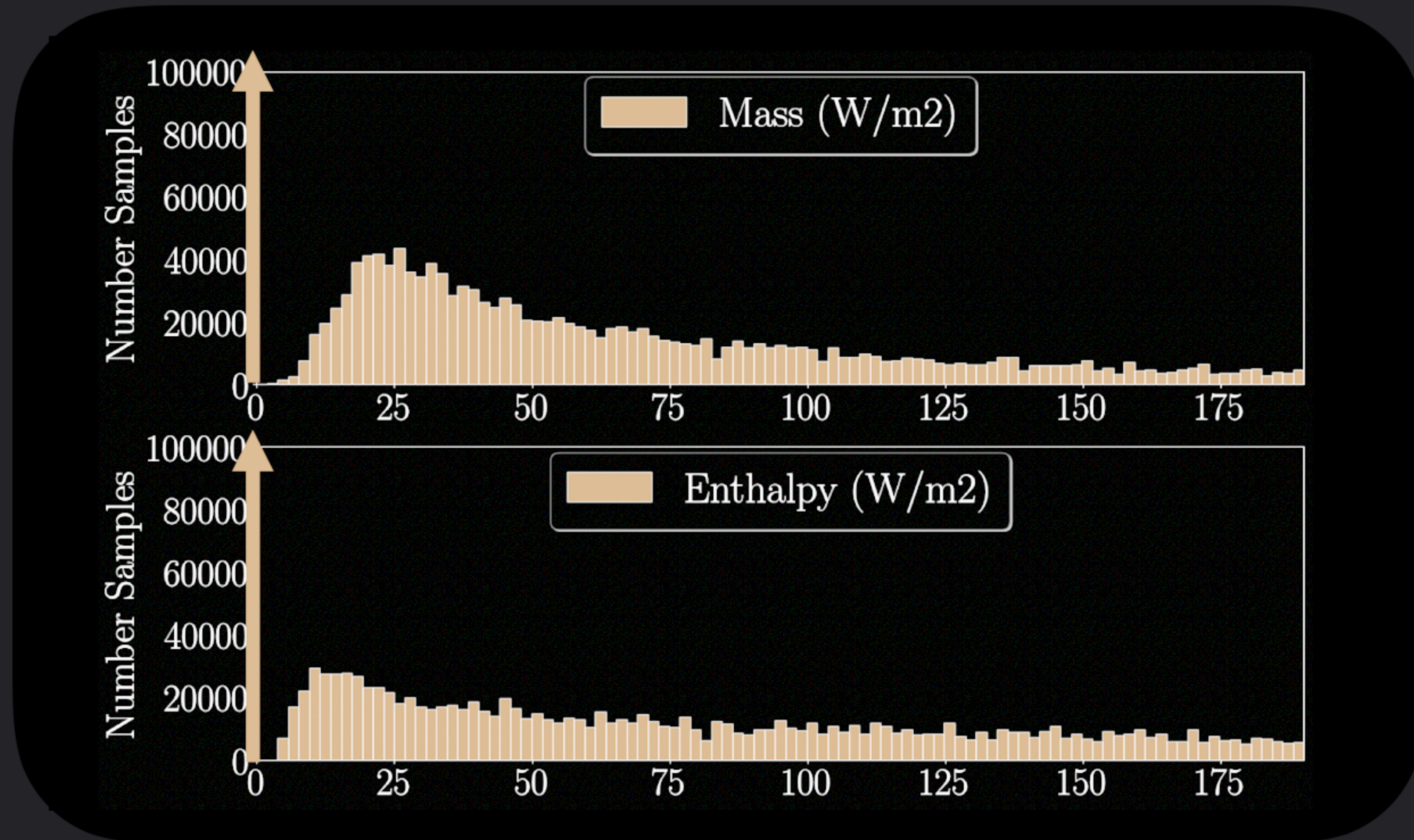
Promise: NN trained on coarse-grained global aquaplanet behaving well 5 days into a prognostic forecast.



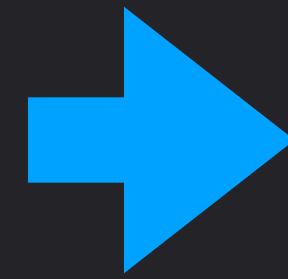
Promise: Random-Forest trained carefully via coarse-graining GCRM aquaplanet slice at equilibrium (prognostic)



Problem 1: NNs attractive but don't obey constraints.

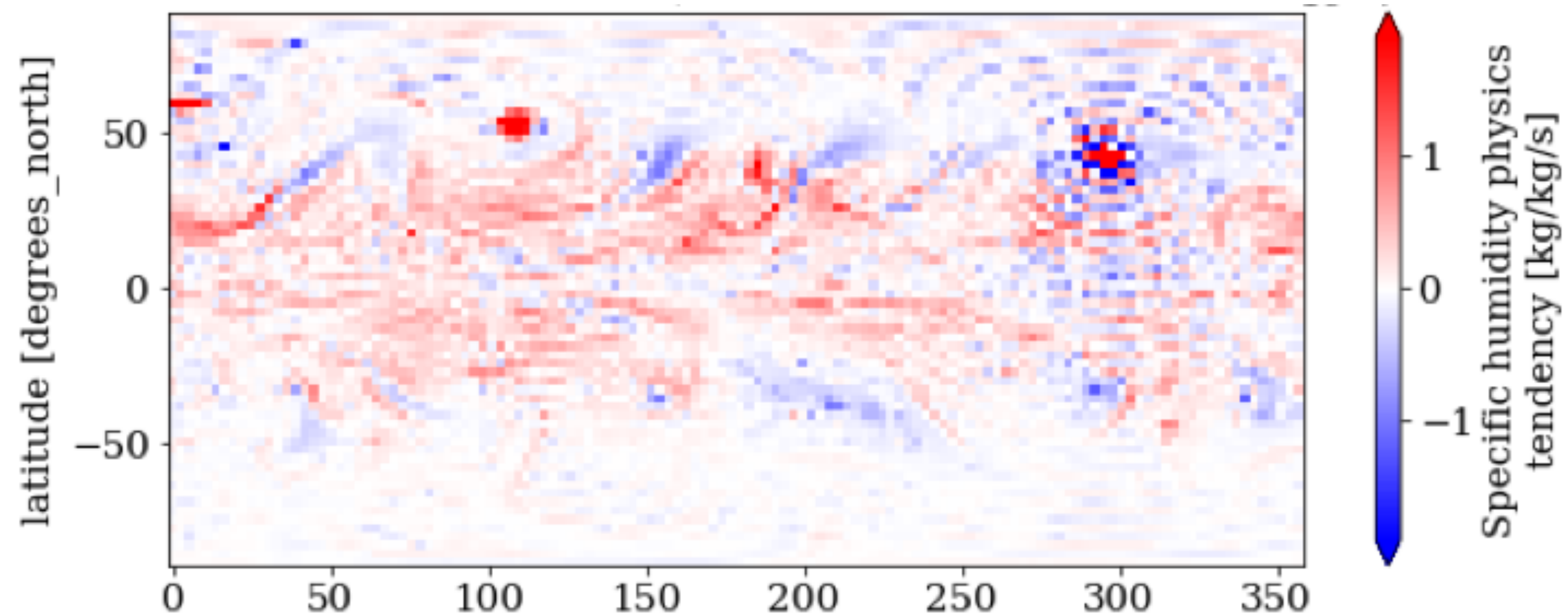


Problem 2: Does the idea work beyond aquaplanets?



Problem 3: Instabilities abound and stable runs are rare.

Example of the neural network blowing up in prognostic mode.



ROAD MAP

→ I. ADDING PHYSICAL CONSTRAINTS

Adapting the Rasp et al. NN to conserve column mass, enthalpy and radiation to precision.

II. FINDING QUALITY FITS IN MORE REALISTIC DATA

“SHERPA”: A formal hyperparameter tuning package uncovers skill in a real-geography setting.

III. REPRODUCING ONLINE STABILITY.

“FKB” software that is helping probe the link between offline validation skill and online performance.

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How to physically constrain neural network parameterizations?

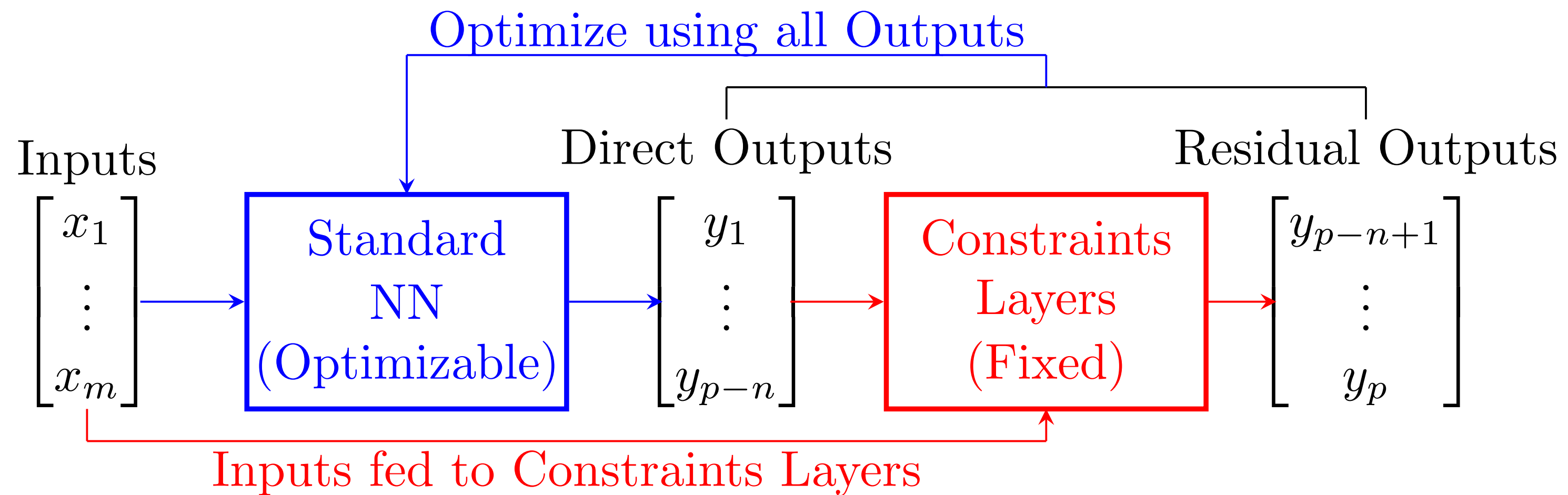
Option #1:

Through the loss function:

$$\text{Loss} = \alpha \left\| \mathbf{C} \begin{bmatrix} x \\ y \end{bmatrix} \right\|_2 + (1 - \alpha) (\text{Mean - squared error}) \quad , \quad \alpha \in [0, 1]$$

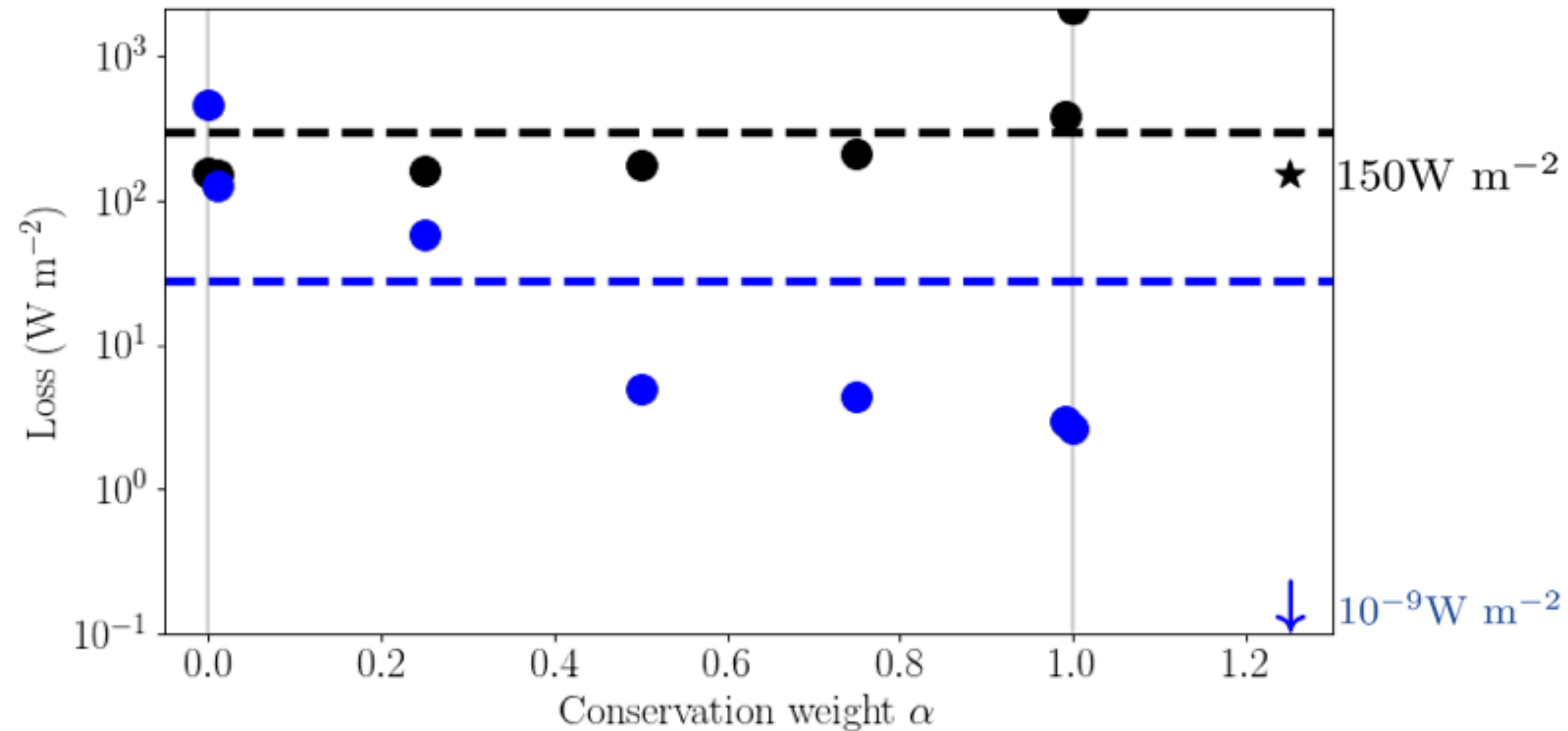
Option #2:

Hard constraints in the architecture:



Tom Beucler's idea: Enforce n constraints *within* the neural net architecture.

Architecture constrained DNNs perform well.



Loss: Trade-off between **physical constraints** and **performance**

Architecture: **Constraints enforced & competitive performance**

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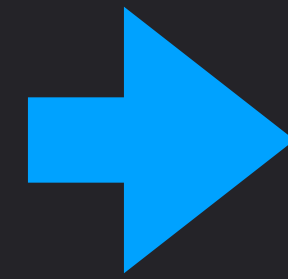
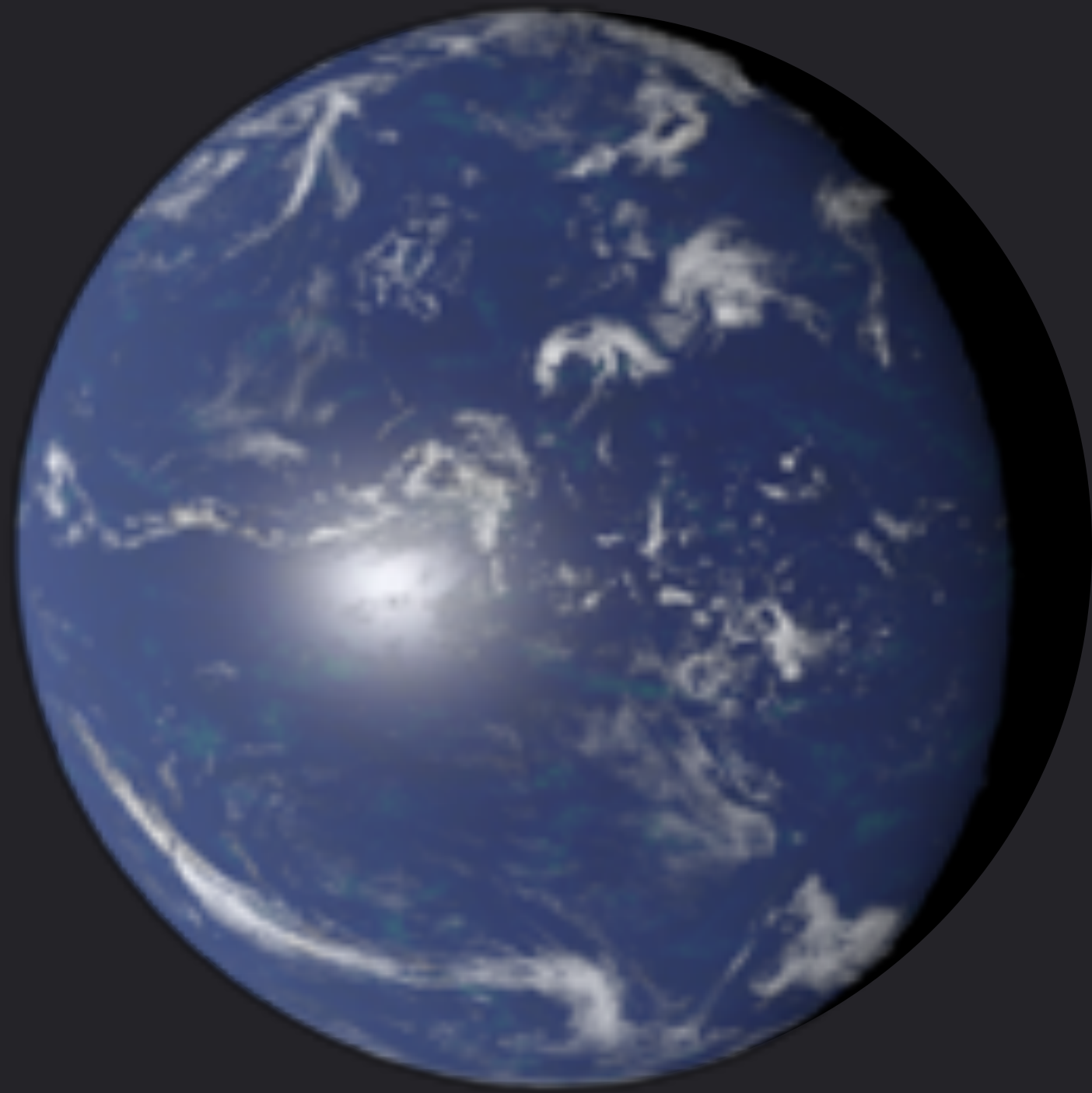
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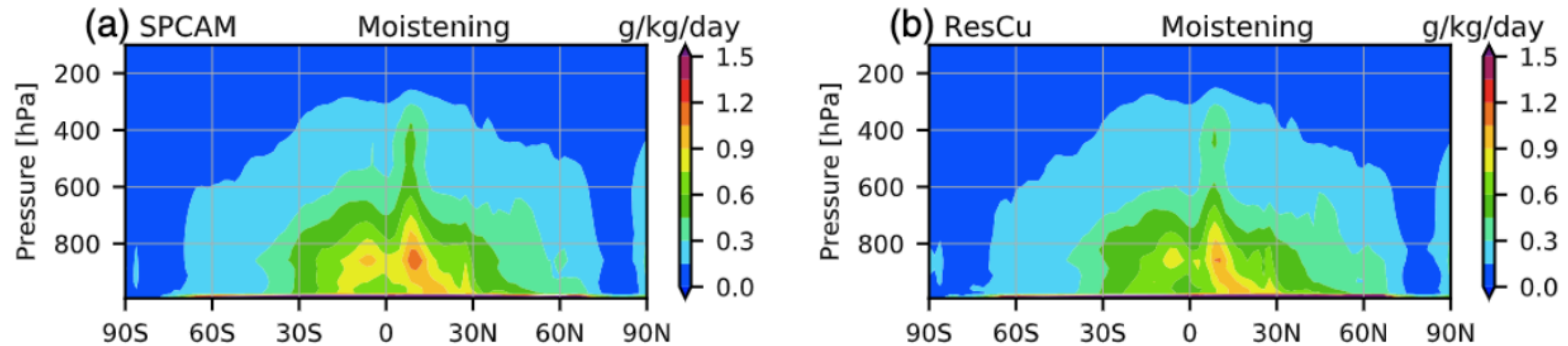
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Problem 2: Does the idea work beyond aquaplanets?



Han et al. 2020: First real-geography offline fits of a SP-GCM

Success requires “resnet” NN architectures + vertical convolution + memory back in time.

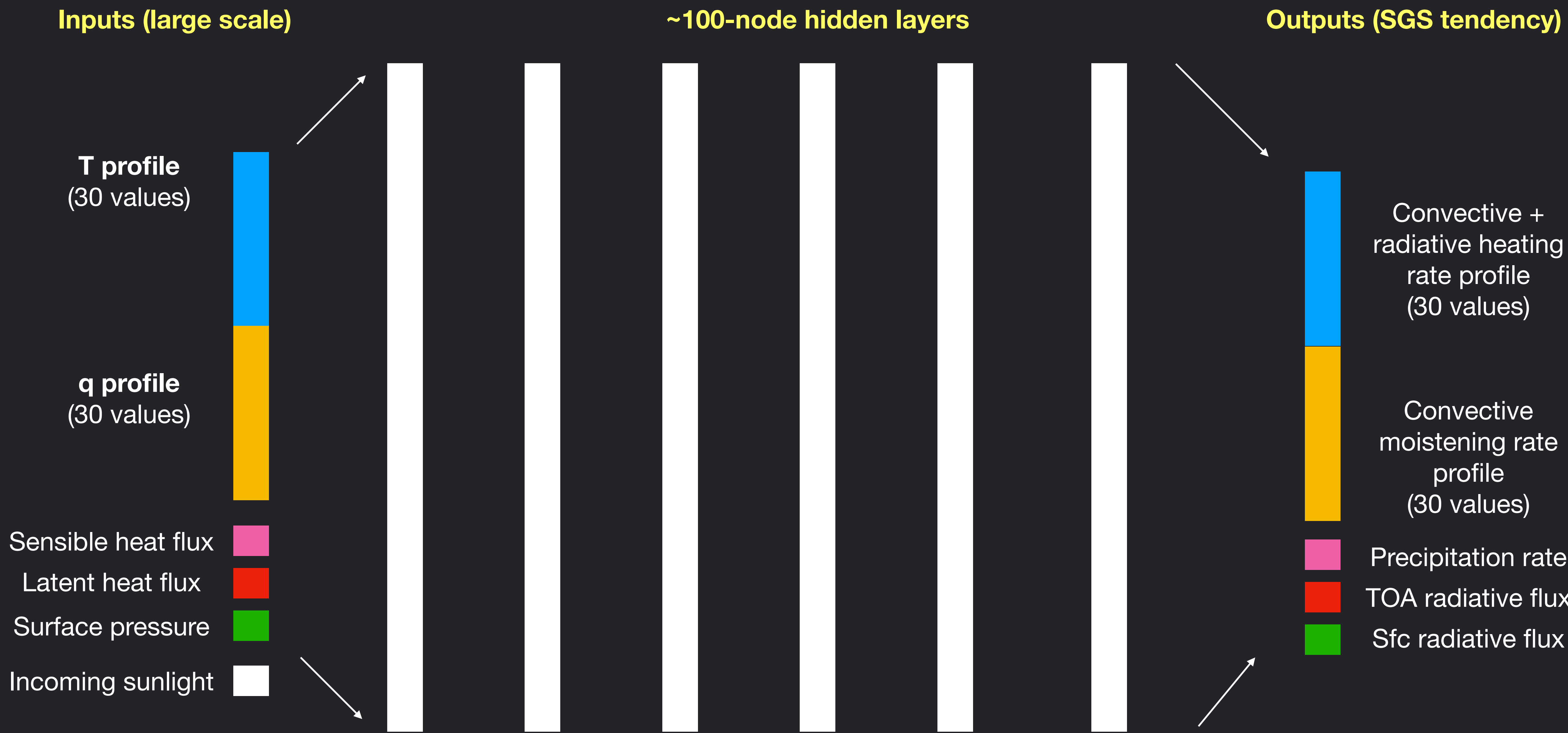


Is NN parameterizability harder than it has appeared?

Revisiting our own (simpler) DNN fits after relaxing aquaplanet idealizations

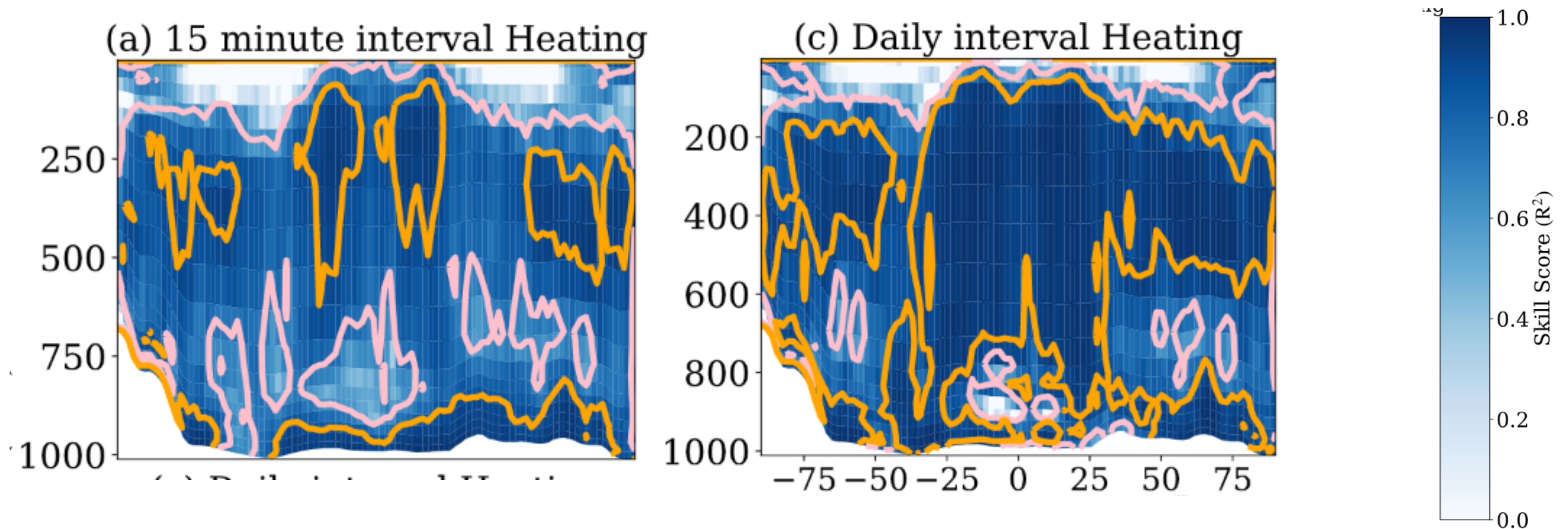
Model version: SPCAM3.0	→	SPCAM5
Dynamical core: Spectral + semi-Lagrangian	→	Finite-volume, 2-deg
Physics columns: ~8k	→	~14k
No geography or land	→	Real geography & land
No seasonality	→	Full seasonality
Weak oceanic diurnal cycles	→	Realistic diurnal convection cycles
Zonal symmetry	→	Walker cells, asymmetric storm tracks, etc.

Schematic of the crude NN we will use.



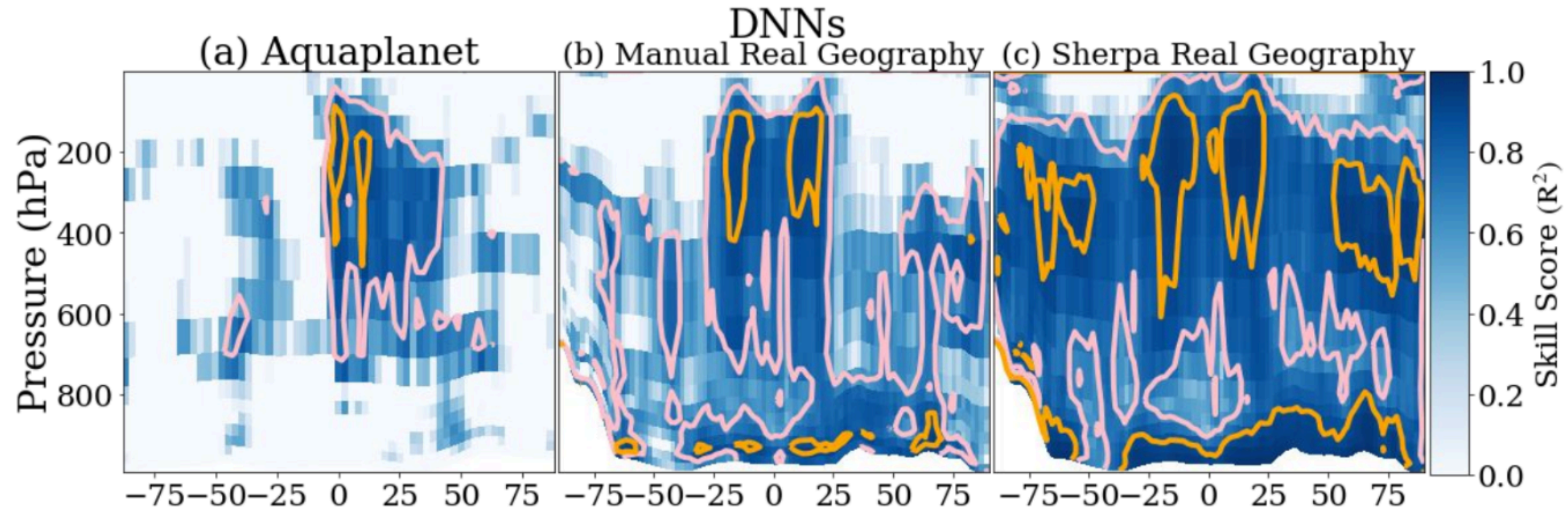
Lessons learned in the real-geography limit.

Competitive skill is possible even with crude DNNs



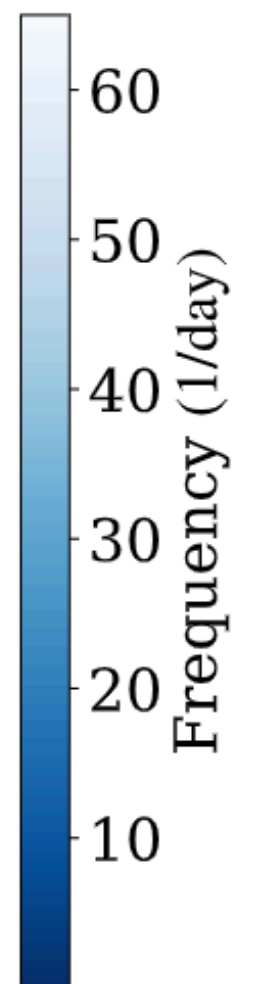
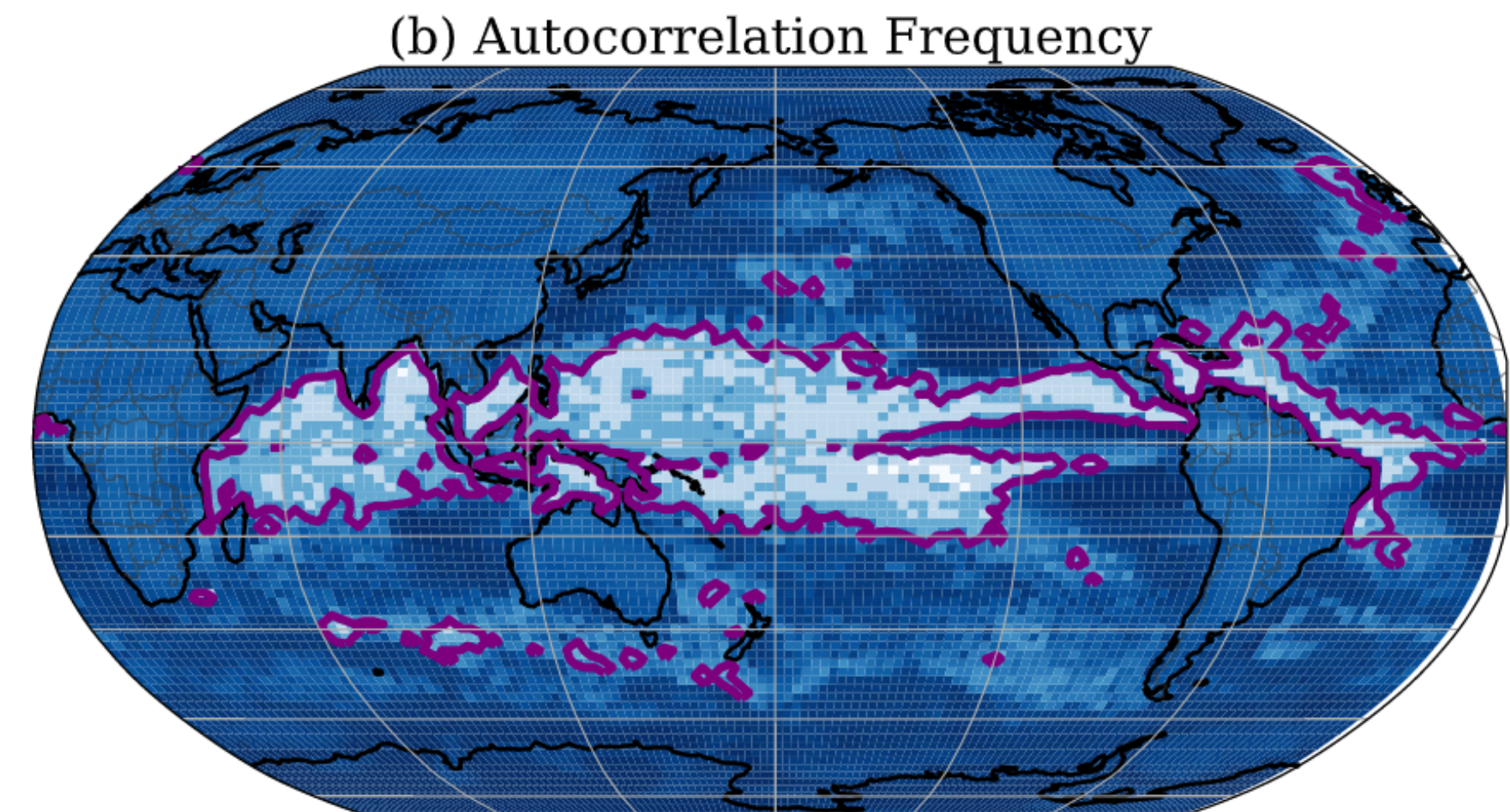
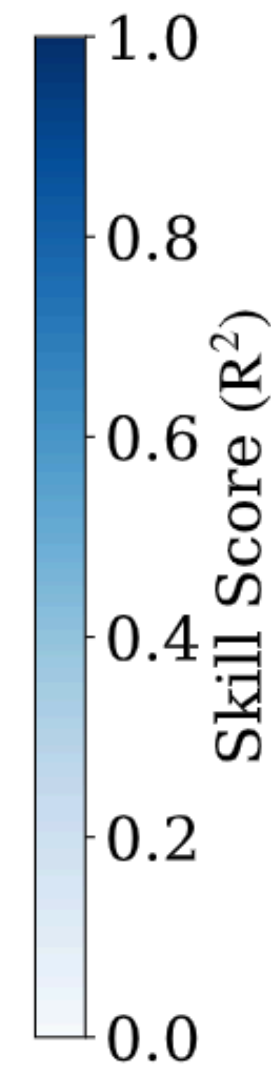
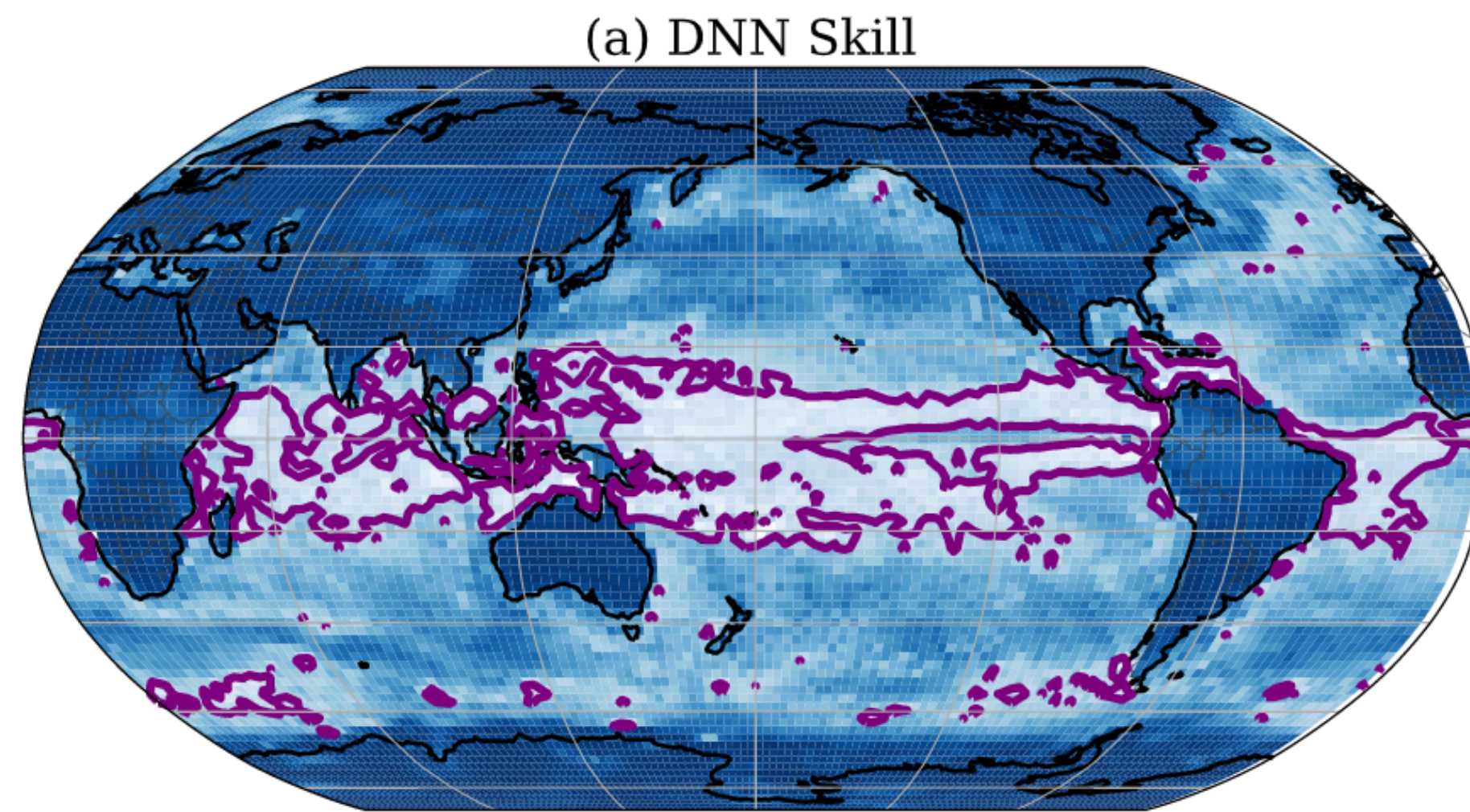
> 90 % temporal variance of zonal mean heating can be fit most places.

In-depth, **automated** hyperparameter tuning matters.



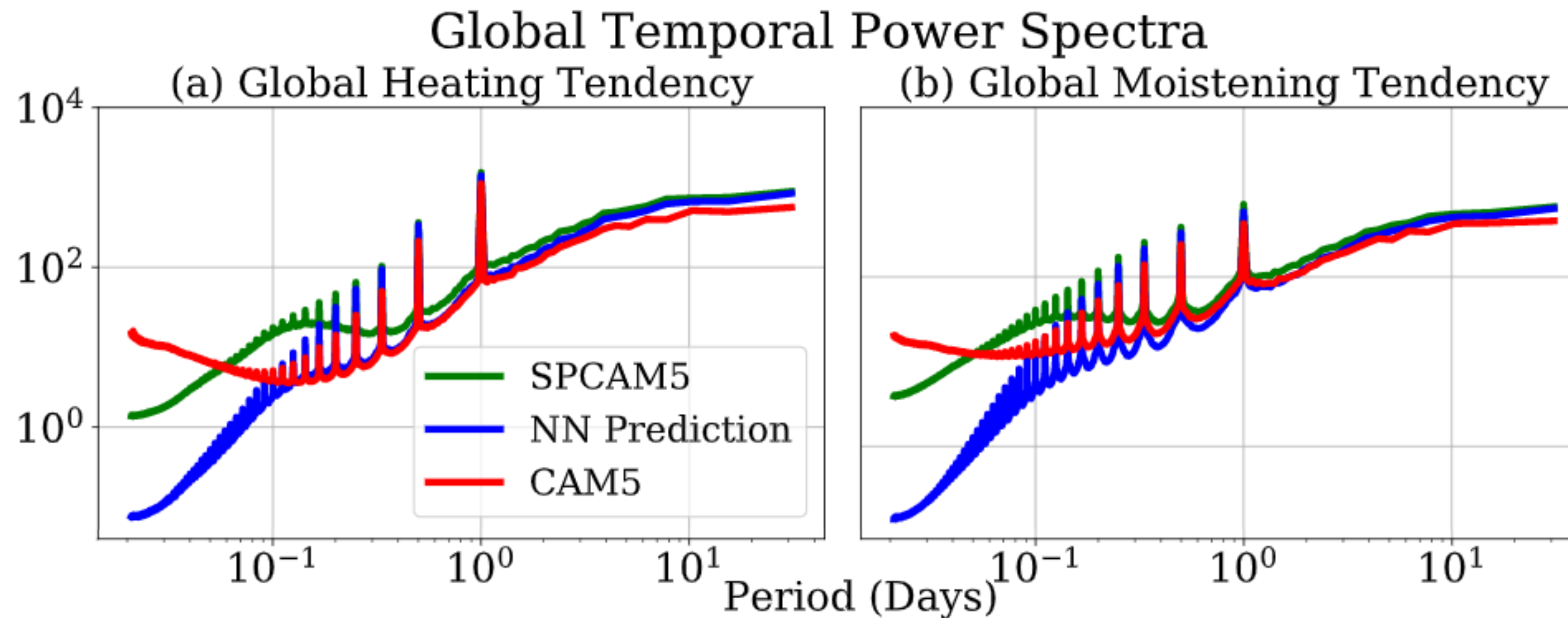
Sherpa: Easy to use semi-automated hyperparameter tuning software

Least skill where signals decorrelate rapidly
(e.g. tropical marine boundary layer)

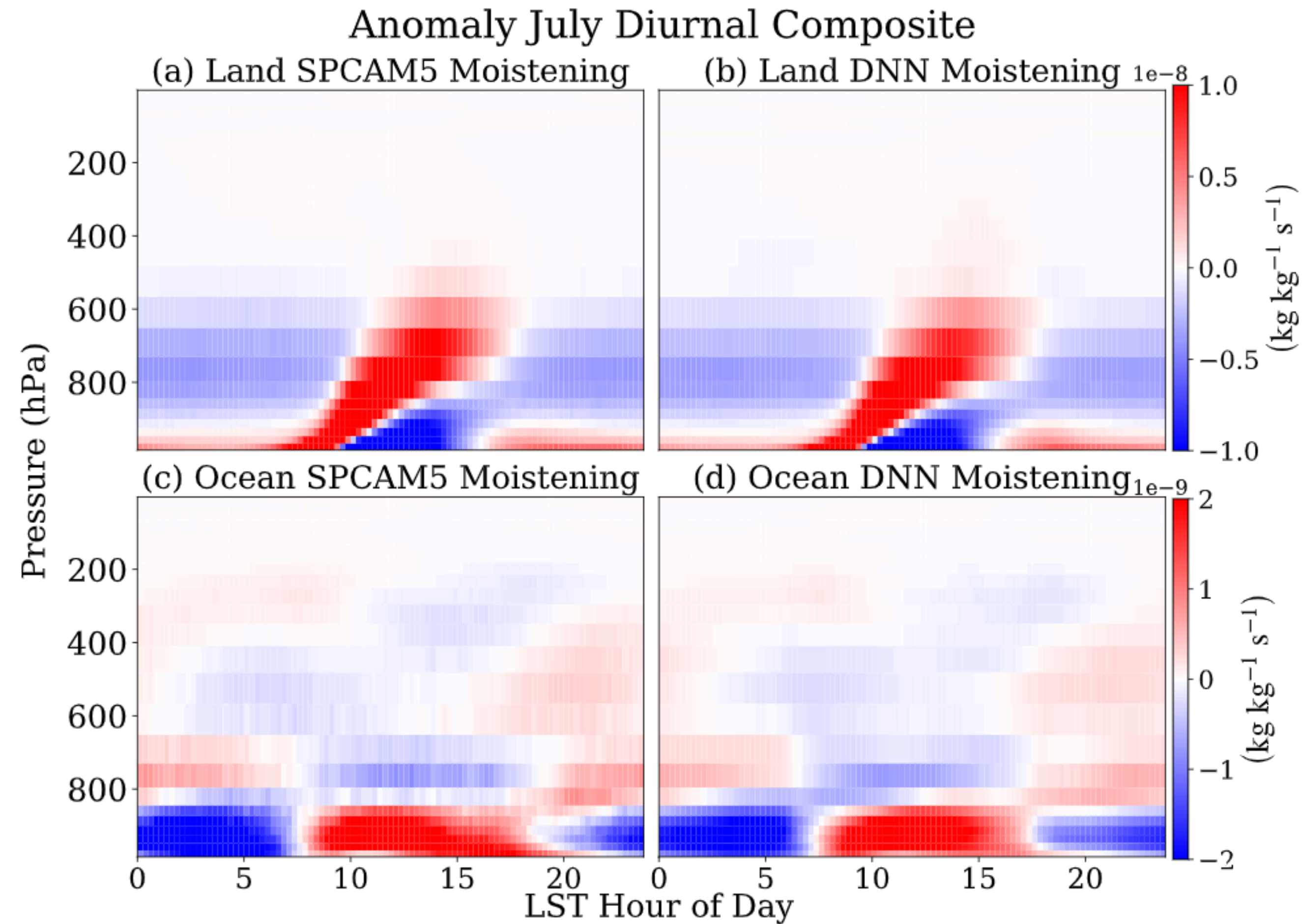


Heating tendency skill at lowest model level

Synoptic and diurnal harmonics equally emulatable.



For heating & moistening, excellent diurnal composites.



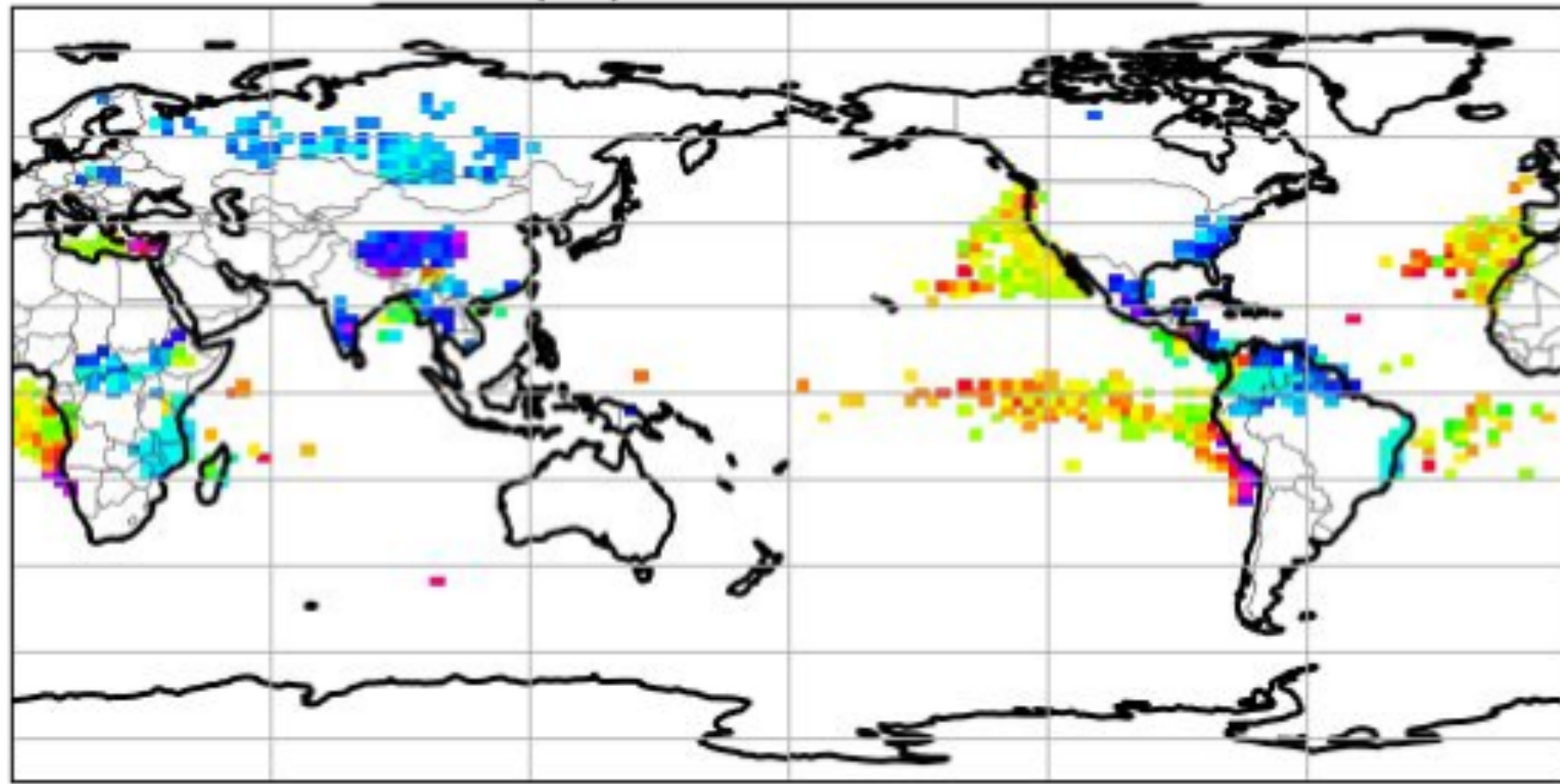
For diurnal precipitation, at first a curious conundrum.

Diurnal rainfall:

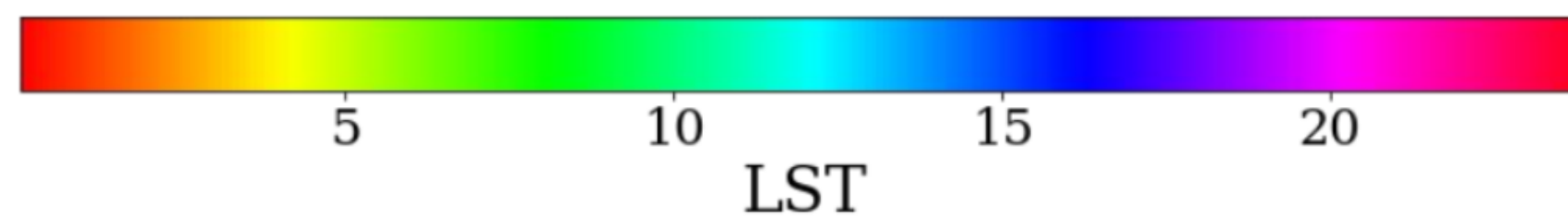
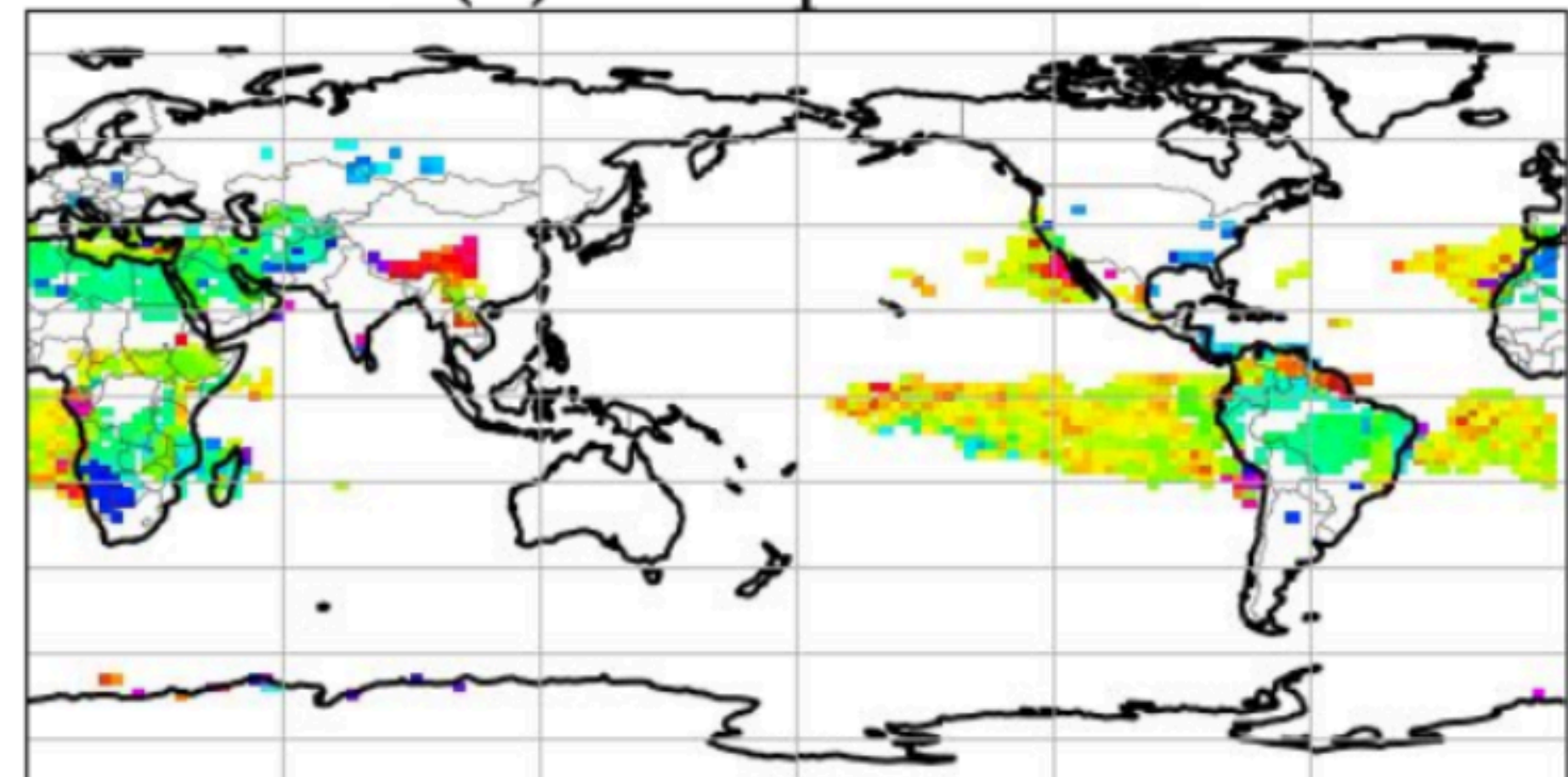
Local solar time of max. precipitation

(where diurnal cycle detectable @ 95%)

(b) SPCAM5

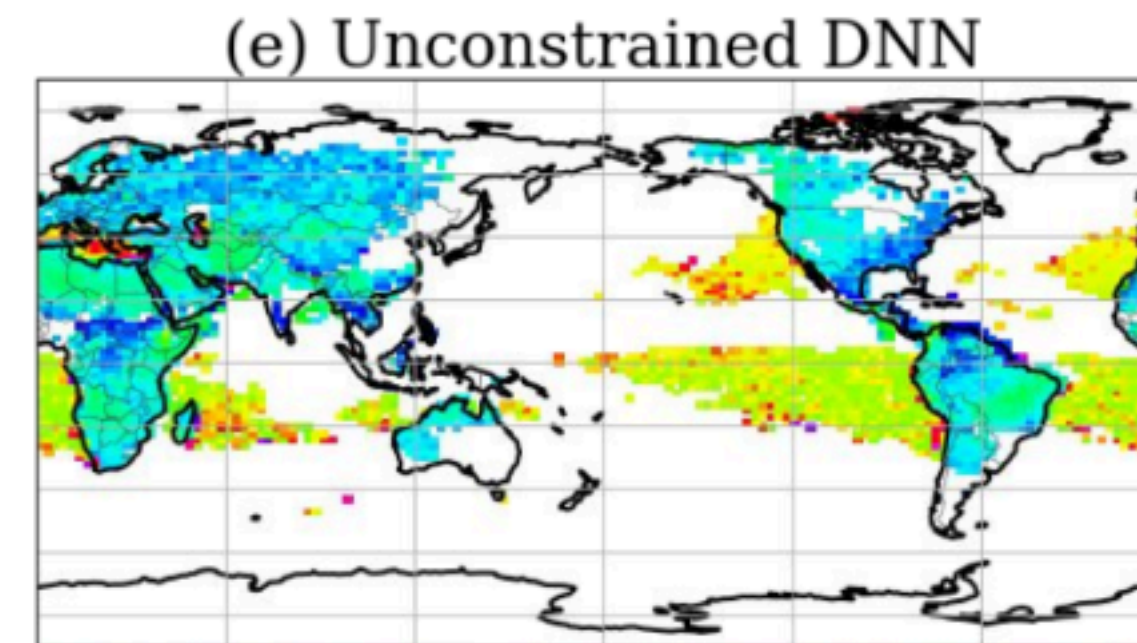
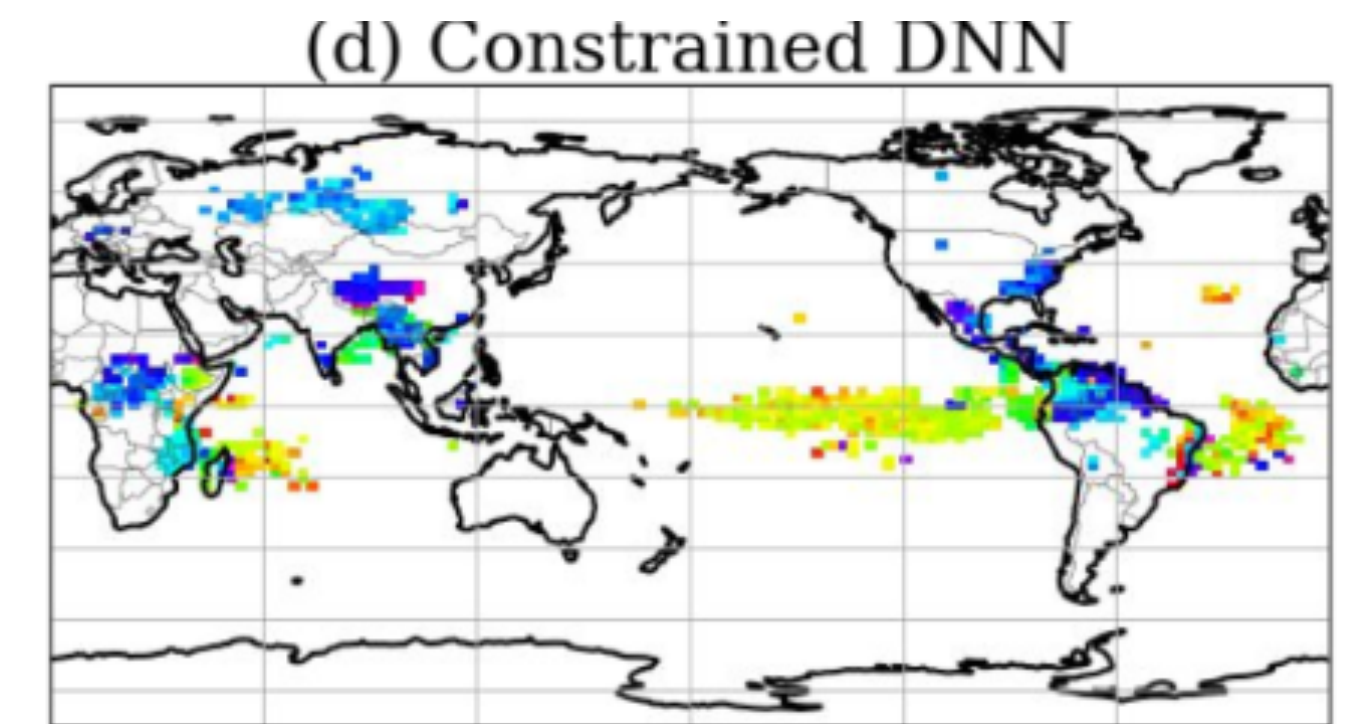
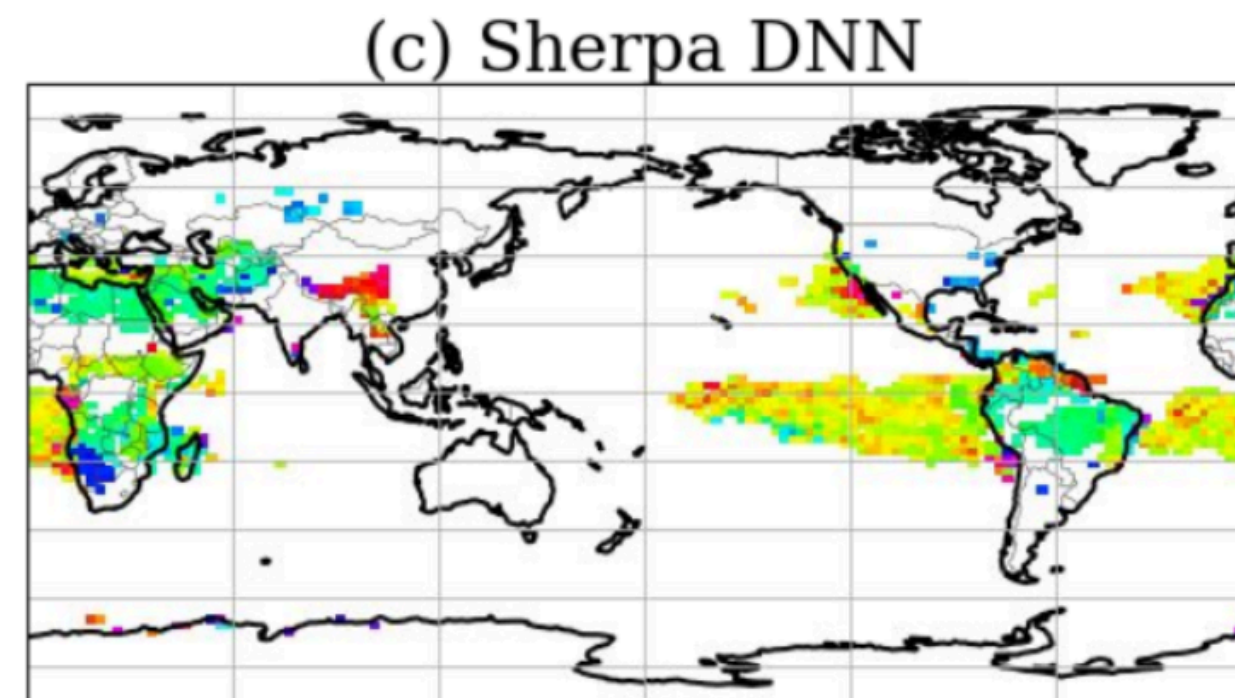
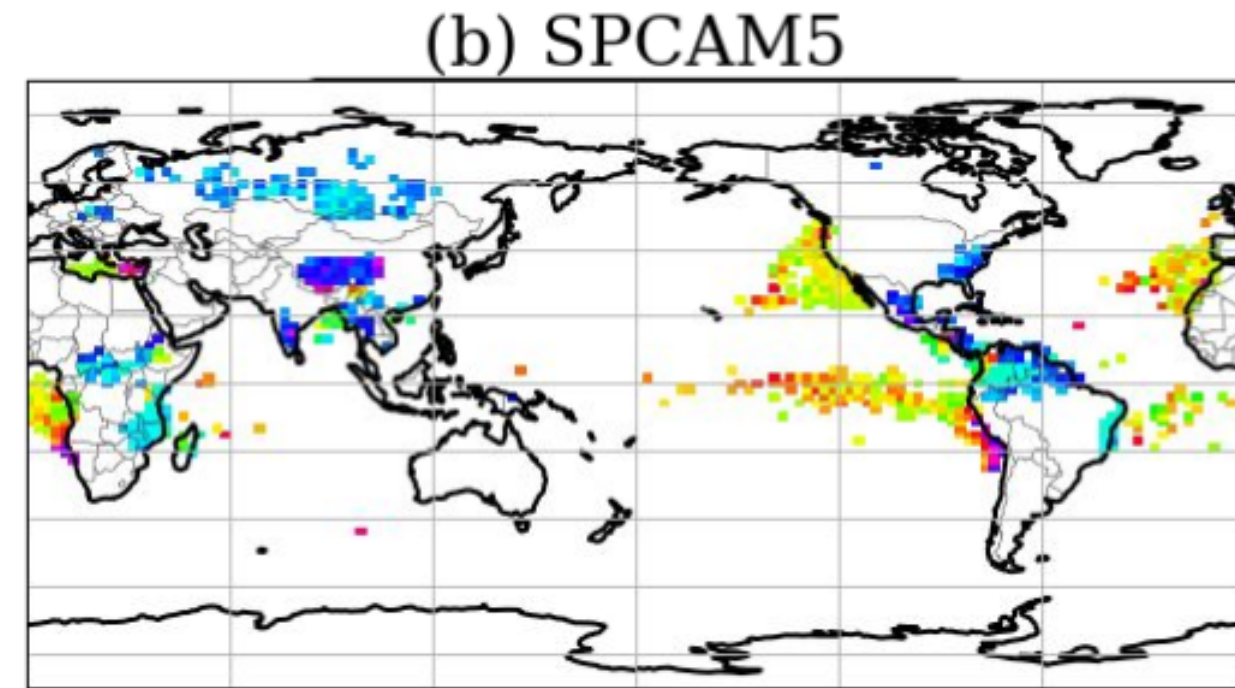


(c) Sherpa DNN



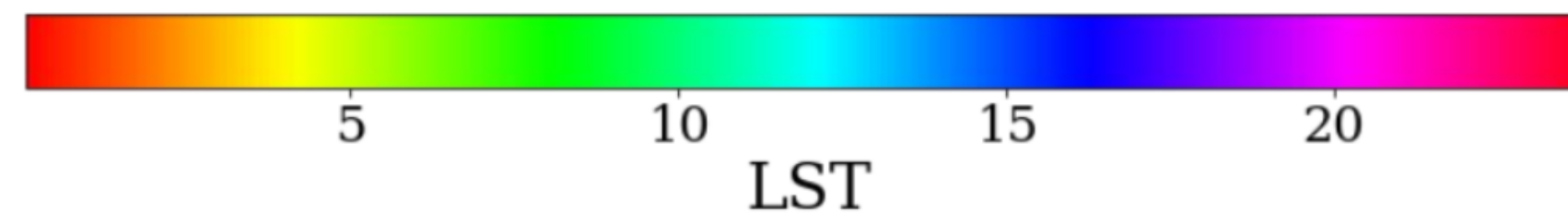
Physical constraints vs intensive hyperparameter tuning can have complementary effects.

**Diurnal rainfall:
Local solar time of max. precipitation**
(where diurnal cycle detectable @ 95%)



Effect of
automated
hyper-parameter
tuning

Effect of
positive-definite
precipitation

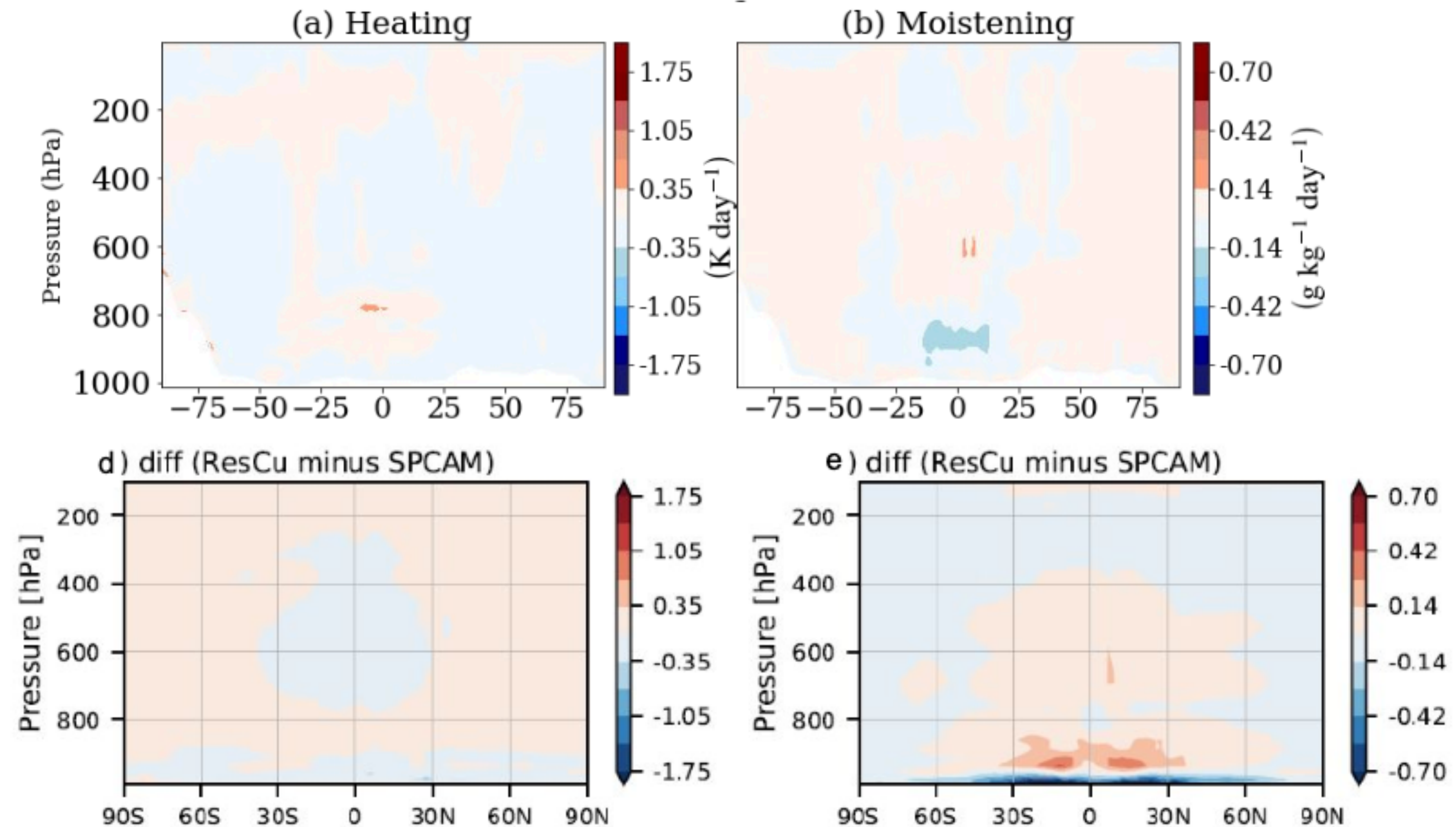


Bottom line: SP convection still seems parametrizable with NNs locally in time & without learning vertical basis functions.

Biases in the zonal mean heating & moistening rate (offline)

These results
(Crude DNN
using current
state only)

Han et al. 2020
(Sophisticated resnet
including past state &
vertical convolution)



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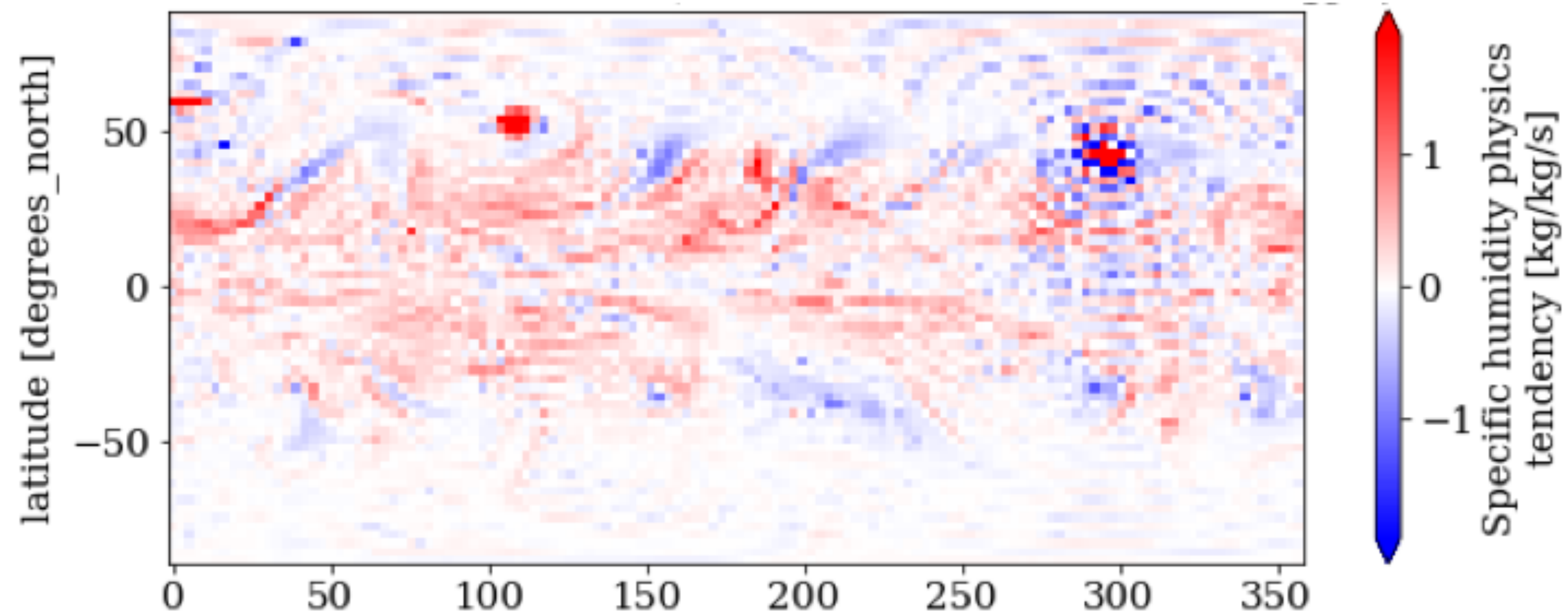
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Instability crisis: minor enhancements of Rasp et al. 2018 aquaplanet training data have not succeeded prognostically.



Is machine learning emulation of subgrid cloud physics viable for operational climate simulation?

Can past successes be reproduced with enhanced training data?

Are instabilities controllable?
Does offline NN skill predict online *coupled* performance?

?

Barriers

Tuning NNs is painful,
100's of tests.

Translating NNs
to fortran kernels is hard.

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New software...

Barriers

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100's of tests.

Translating NNs
to fortran kernels is hard.

Test dozens
of candidate
NNs in
prognostic
mode.

“SHERPA”

Reliably autotuning NN parameters

“Fortran-Keras Bridge”

Simplifies embedding
NNs in climate models

The Fortran-Keras Bridge (FKB)

<https://github.com/scientific-computing/FKB>

Takes the pain out of testing / training simple NNs within E3SM

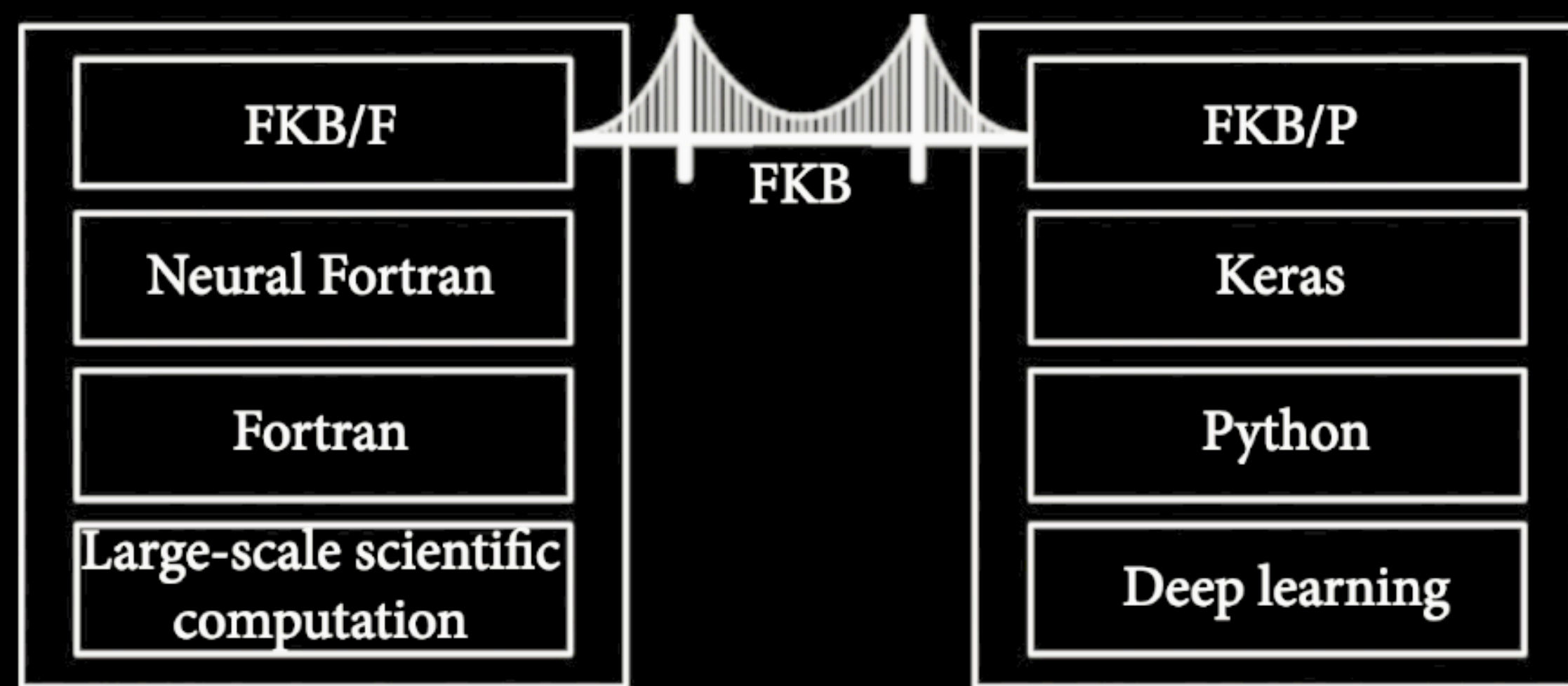


FIGURE 2: Positioning of FKB within Fortran and Python ecosystems.

Milan Curcic



U. Miami

Pierre Baldi



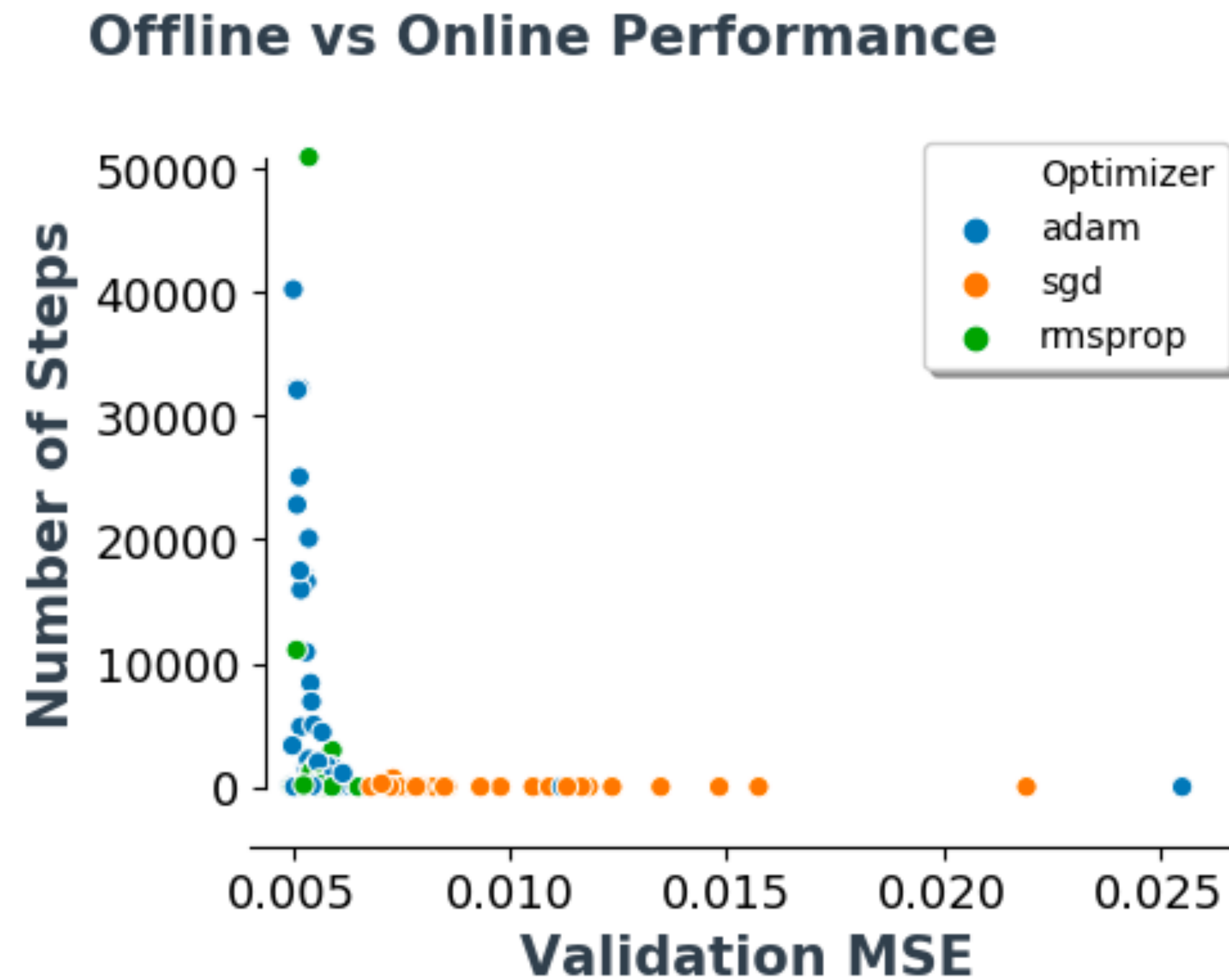
(UCI Computer Science)

Jordan Ott



**First results from a large ensemble of NN-coupled climate model tests
(aquaplanet) sampling diverse architectures**

Offline fit skill has predictive value & optimizer choice matters. (32-col SP-aquaplanet)



Widespread hyperparameter tuning worth it but must be paired with widespread prognostic testing.

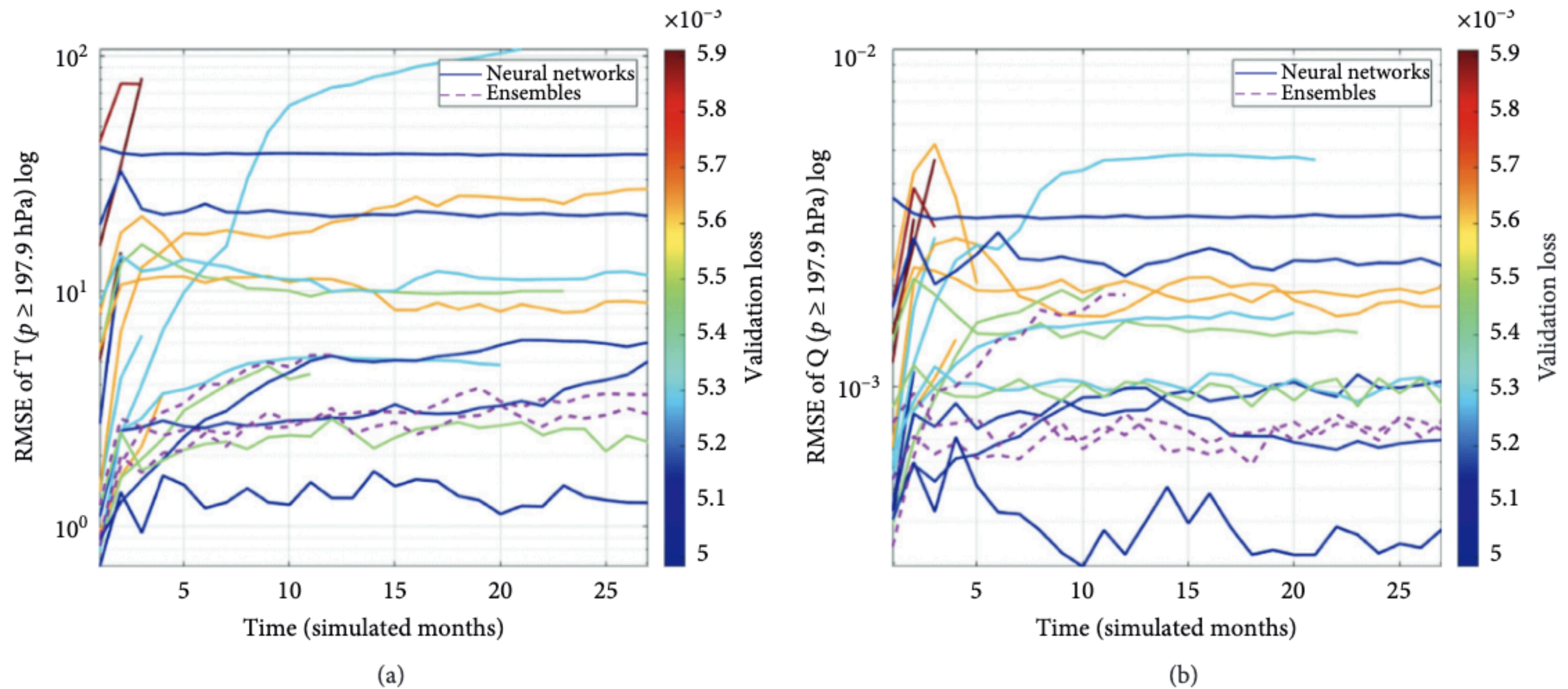


FIGURE 4: The time-evolution of the tropospheric (a) temperature and (b) humidity biases, colored by the offline validation error.

Is machine learning emulation of subgrid cloud physics viable for operational climate simulation?

Can past successes be reproduced with enhanced training data?

Are instabilities controllable?
Does offline NN skill predict online *coupled* performance?

Yes. Formal hyperparameter tuning via Sherpa has yielded offline fits in real-geography mode that look competitive.

Perhaps. A stubborn instability when SP-AQUA is retrained on 32-col CRM data can be avoided with formal tuning.

While many candidate NNs drift to unphysical coupled attractors, optimal fits are performant

Will Sherpa+FKB prove reliable for success in prognostic real-geography mode?
(stay tuned!)

First reproduction of Rasp et al. success.



THANKS

**It is an exciting time for numerical climate
dynamics!**